OGE Form 278 (Rev. 12/2011)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Form Approved:
OMB No. 3209 - 0001

Date of Appointment, Candidacy, Election, or Nomination *(Month, Day, Year)*	Reporting Status (Check Appropriate Boxes)	Incumbent ☐	Calendar Year Covered by Report	New Entrant, Nominee, or Candidate ☒	Termination Filer ☐ / Termination Date *(If Applicable) (Month, Day, Year)*
Reporting Individual's Name	Last Name: Berteau		First Name and Middle Initial: David J.		
Position for Which Filing	Title of Position: Asst Secretary of Defense (Logistics & Materiel Readiness)		Department or Agency *(If Applicable)*: Department of Defense		
Location of Present Office (or forwarding address)	Address *(Number, Street, City, State, and ZIP Code)*: 1616 Rhode Island Ave NW, Washington, DC 20036		Telephone No. *(Include Area Code)*: (202) 887-0200		
Position(s) Held with the Federal Government During the Preceding 12 Months *(If Not Same as Above)*	Title of Position(s) and Date(s) Held: Department of Defense Consultant 8/2013-9/2013				
Presidential Nominees Subject to Senate Confirmation	Name of Congressional Committee Considering Nomination: Committee on Armed Services		Do You Intend to Create a Qualified Diversified Trust? ☐ Yes ☒ No		

Certification I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.	Signature of Reporting Individual: David J. Berteau	Date *(Month, Day, Year)*: 06-25-2014
Other Review (If desired by agency)	Signature of Other Reviewer	Date *(Month, Day, Year)*
Agency Ethics Official's Opinion On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).	Signature of Designated Agency Ethics Official/Reviewing Official	Date *(Month, Day, Year)*: 11/14/14
Office of Government Ethics Use Only	Signature	Date *(Month, Day, Year)*: 11/21/14

Comments of Reviewing Officials *(If additional space is required, use the reverse side of this sheet)*

(Check box if filing extension granted & indicate number of days ______) ☐

(Check box if comments are continued on the reverse side) ☐

Fee for Late Filing
Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period, shall be subject to a $200 fee.

Reporting Periods
Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.

Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.

Nominees, New Entrants and Candidates for President and Vice President:

Schedule A--The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.

Schedule B--Not applicable.

Schedule C, Part I (Liabilities)--The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.

Schedule C, Part II (Agreements or Arrangements)--Show any agreements or arrangements as of the date of filing.

Schedule D--The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.

Agency Use Only

OGE Use Only

Supersedes Prior Editions.

Reporting Individual's Name
Berteau, David J.

SCHEDULE A

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income.

For yourself, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than $1,000 (except report the actual amount of any honoraria over $200 of your spouse).

None ☐

Assets and Income (Block A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Rent and Royalties	Interest	Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
Examples: Central Airlines Common				x												x						x										
Examples: Doe Jones & Smith, Hometown, State			x																												Law Partnership Income $130,000	
Examples: Kempstone Equity Fund					x								x										x									
Examples: IRA: Heartland 500 Index Fund							x						x											x								
1 Center for Strategic and International Studies, Washington DC																															Salary: $277,500	
2 Johns Hopkins University, Applied Physics Laboratory, Laurel MD																															Consulting fees: $96,024	
3 R. Christopher Goodwin and Associates, Frederick MD (cultural resource mgmt group)																															Consulting fees: $43,650	
4 National Security Studies Program, Maxwell School, Syracuse University, Syracuse NY																															Presentation: $1,000	05/02/2013
5 Georgetown University, Washington DC																															adj professor - $19,600	
6 Lyndon B. Johnson School of Public Affairs, University of Texas at Austin, TX																															adj professor - $9500	

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

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Reporting Individual's Name		Page Number
Berteau, David J.	**SCHEDULE A continued** (Use only if needed)	

Assets and Income — BLOCK A; Valuation of Assets at close of reporting period — BLOCK B; Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	National Academy of Public Administration, Washington DC																															Study Panel $1200	
2	The SI Organization, Inc., Chantilly VA (Systems engineering and integration firm)																															Consulting fee $40,000	
3	Height Analytics - Washington DC (private broker-dealer financial advisor firm)																															Consulting fee $1750	
4	T Rowe Price Institutional LargeCap Core (401K stock fund) TPLGX		X											X							X												
5	Fidelity Contrafund K (401K stock fund) FCNKX		X											X							X												
6	Eaton Vance Large Cap Value I (401K stock fund) EILVX		X											X							X												
7	Fidelity Diversified International (401K stock fund) FDIVX		X											X							X												
8	PIMCO Total Return Fund Institutional Class (401K bond fund) PTTRX		X											X							X												
9	Fidelity Stable Value Fund (401K bond fund)					X								X							X												

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

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Reporting Individual's Name		Page Number
Berteau, David J.	**SCHEDULE A continued** (Use only if needed)	

	Assets and Income (BLOCK A)	Valuation of Assets at close of reporting period (BLOCK B)															Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. (BLOCK C) Type				Amount												
		None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	TIAA Traditional (401K annuity fund)			X																	X												
2	CREF Stock (401K stock fund)				X									X							X												
3	CREF Growth (401K stock fund)				X									X							X												
4	CREF Equity Index (401K stock fund)			X										X							X												
5	CREF Bond Market (401K bond fund)			X										X							X												
6	CREF Inflation-Linked Bond (401K bond fund)			X										X							X												
7	CREF Social Choice (401K multi-asset fund)			X										X							X												
8	Leidos Common Stock Fund, split from SAIC Common below (401K) LDOS			X													X				X												
9	SAIC Common Stock Fund, split to form Leidos Common above (401K) SAIC		X														X				X												

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name

Berteau, David J.

SCHEDULE A continued

(Use only if needed)

	Assets and Income BLOCK A	Valuation of Assets at close of reporting period BLOCK B															Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. BLOCK C																	
																	Type				Amount												
		None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Leidos Closed Stock Fund, split from SAIC Closed below (401K fund) LDOS					X															X												
2	SAIC Closed Stock Fund, split to form Leidos Closed above (401K fund) SAIC					X															X												
3	Vanguard Prime Money Market Fund (401K) VMMXX		X											X							X												
4	Vanguard Short-Term Bond Index (401K bond fund) VBISX			X										X							X												
5	Vanguard Target Retire 2015 Trust Plus (401K fund) VTXVX					X								X							X												
6	Dodge & Cox Stock Fund (401K fund) DODGX				X									X							X												
7	Vanguard Institutional Index Fund (401K fund) VINIX					X								X							X												
8	Center for the Study of the Presidency and Congress, Washington DC																															Income from book chapter: $1,000	04/25/2014
9	Bordelon and Berteau LLC, Opelousas LA (out of business Auto Body Shop)	X																			X												

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

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Reporting Individual's Name: Berteau, David J.

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Bordelon and BerteauLLC -Commercial building (vacant) (40% share) - Opelousas, Louisiana				X																X												
2	Residential property - Opelousas, Louisiana				X																X												
3	Condominium, Washington DC (joint ownership with grown son) (SOLD)	X																			X												
4	Northwestern Mutual, Adjustable CompLife Insurance (whole life)		X																		X												
5	NSS Program, Maxwell School, Syracuse University, Syracuse NY (honoraria received)																															Presentation: $1,000	4/22/2014
6																																	
7																																	
8																																	
9																																	

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name: Berteau, David J.

SCHEDULE B

Part I: Transactions

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss.

Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

None ☐

	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
Example	Central Airlines Common	x			2/1/99			x									
1																	
2																	
3																	
4																	
5																	

*This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than $350 and (2) travel-related cash reimbursements received from one source totaling more than $350. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. **Exclude** anything given to you by the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth $140 or less. See instructions for other exclusions.

None ☐

	Source (*Name and Address*)	Brief Description	Value
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty)	$500
	Frank Jones, San Francisco, CA	Leather briefcase (personal friend)	$385
1			
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Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at **any time** during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. **Exclude** a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☐

	Creditors (Name and Address)	Type of Liability	Date Incurred	Interest Rate	Term if applicable	Category of Amount or Value (x): $10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Examples	First District Bank, Washington, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs.			x								
	John Jones, Washington, DC	Promissory note	1999	10%	on demand					x						
1	St. Landry Bank and Trust, Opelousas LA	Business Loan secured by real property	2009	5.75%	3 yrs				X							
2	Wells Fargo, Des Moines IA	Mortgage on condo (paid off)	2005	5.6255	10 yrs					X						
3	Chase Bank, Wilmington DE	Credit Card	2013	13.49%	revolving	X										
4	Bank of America	Mortgage on personal residence	2014	4.625%	30 yrs				X							
5																

*This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None ☐

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
1	I will continue to participate in the SAIC 401K. I have not received any contributions since my departure.	SAIC, Washington DC	9/93
2	I will continue to participate in the Omnicom/Clark & Weistock 401K. I have not received any contributions since my departure.	Omnicom/Clark & Weistock, Washington DC	5/03
3	I will continue tto participate in the TIAA-CREF plan with CSIS. The company will not make further contributions after my resignation.	CSIS, Washington DC	3/08
4	I will continue to participate in the TIAA-CREF plan with Syracuse University I have not received any contributions since my departure.	University of Syracuse, Syracuse NY	2/01
5			
6			

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Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization *(Name and Address)*	Type of Organization	Position Held	From *(Mo., Yr.)*	To *(Mo.,Yr.)*
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1	Center for Strategic and International Studies, Washington DC	Non-profit think tank	Senior Vice President and Director	11/2011	Present
2	R. Christopher Goodwin & Associates, Frederick MD	Private sector archaeological services firm	Member, Board of Directors, and consultant	02/2001	Present
3	Procurement Round Table, Washington DC	Non-profit public service	Director (uncompensated)	11/1994	Present
4	Georgetown University, Washington DC	Private, non-profit university	Adjunct Professor	01/2006	Present
5	Lyndon B. Johnson School of Public Affairs, University of Texas, Austin TX	Public university	Adjunct Professor	06/2012	Present
6	National Academy of Public Administration, Washington DC	non-profit congressionally-chartered public service	Fellow	11/2002	Present

Part II: Compensation in Excess of $5,000 Paid by One Source

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

None ☐

	Source *(Name and Address)*	Brief Description of Duties
Examples	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1	Center for Strategic and International Studies, Washington DC	full time employment, program director and corporate officer, non-profit think tank
2	Georgetown University, Washington DC	Teaching graduate courses as an adjunct professor
3	Lyndon B. Johnson School of Public Affairs, University of Texas, Austin TX	Teaching graduate courses as an adjunct professor
4	Applied Physics Laboratory, John Hopkins University, Laurel MD	Consulting services
5	R. Christopher Goodwin & Associates, Frederick MD	Member, board of directors, and consulting services
6	continued on next page	

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Berteau, David J.	SCHEDULE D	

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization *(Name and Address)*	Type of Organization	Position Held	From *(Mo., Yr.)*	To *(Mo., Yr.)*
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1	Applied Physics Laboratory, Johns Hopkins University, Laurel MD	University-affiliated Research Center, non-profit, private university	Contract for consulting	04/2011	Present
2	Association of Defense Communities, Washington DC	non-profit association of defense communities and organizations	Member, Federal Outreach Advisory Committee (uncompensated)	10/2009	Present
3	Beacon Capital Partners, LLC, Boston MA	Consultant	Consultant	07/2012	07/2012
4	LMI, McLean VA	non-profit services firm	Consultant (uncompensated)	12/2010	Present
5	The SI Organization, Inc., Chantilly VA	private-sector systems engineering firm	Advisory board, consultant	01/2012	01/2014
6	Height Analytics, Washington DC	Private financial advisor firm	Consultant	07/2011	05/2013

Part II: Compensation in Excess of $5,000 Paid by One Source

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

None ☐

	Source *(Name and Address)*	Brief Description of Duties
Examples	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1	The SI Organization Inc., Chantilly VA	Consulting services, participation on advisory boards
2	Beacon Capital Partners, LLC, Boston MA	Consulting services (2012)
3	Height Analytics, Washington DC	Consulting services
4		
5		
6		

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Berteau, David J.	SCHEDULE D	11 of 11

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization *(Name and Address)*	Type of Organization	Position Held	From *(Mo., Yr.)*	To *(Mo., Yr.)*
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1	National Security Studies Program, Maxwell School of Citizenship and Public Affairs, Syracuse University, Syracuse NY	Non-profit university	Member, Advisory Board (uncompensated)	05/2003	Present
2					
3					
4					
5					
6					

Part II: Compensation in Excess of $5,000 Paid by One Source

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

None ☐

	Source *(Name and Address)*	Brief Description of Duties
Examples	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1		
2		
3		
4		
5		
6		