1-800-325-8506

(512)463-5800 Austin, Texas 78711-2070

PE	RSONAL FII	NANCIAL STATEMENT		FORM PFS COVER SHEET
		ance with chapter 572 of the Government Code.	PAGE # Pag	e 1 of 18
For f	-	15, covering calendar year ending December 31, 2014 . INSTRUCTION GUIDE when completing this form.	ACCOUNT#	10504
1 NA	I	TITLE, FIRST, MI	OFFICE	USE ONLY 2/19
2 AD	DRESS	NICKNAME, LAST, SUFFIX McRaven	■ 49	CEIVED 2 3 2015 ics Commission
		601 Colorado Street OHH 404	Receipt#	
		Austin, TX 78748	HD/PM) 3 19 Legal	Amount
		(CHECK IF FILER'S HOME ADDRESS)	PROCESSED	MAR 2 3 2015
	LEPHONE	AREA CODE NUMBER; EXTENSION	Date Imaged	
NU	IMBER	(512) 499-4201	J	
FO	ASON PR FILING ATEMENT	☐ CANDIDATE ☐ ELECTED OFFICER ☐ APPOINTED OFFICER ☐ EXECUTIVE HEAD The University of Texas System ☐ FORMER OR RETIRED JUDGE SITTING BY ASSIGNMENT ☐ STATE PARTY CHAIR ☐ OTHER ☐ OTHER		(INDICATE AGENCY) (INDICATE AGENCY) (INDICATE AGENCY)
		financial activity you are reporting (filer must report information abouldren if the filer had actual control over that activity):	ıt the financial acti	vity of the filer's
	SPOUSE	Georgeann Brady McRaven		
	DEPENDENT CHILD 1.	Kelly Marie McRaven		
	2.			
	3.			
	A 1/5 A CONTINUE AND			
		rill disclose your financial activity during the calendar year. In parts		

over that person's financial activity.

COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

SOURCES OF OCC	UPATIONAL INCOME PART 1A
☐ NOT APPLICABLE	
When reporting information abou providing the number under whic	ut a dependent child's activity, indicate the child about whom you are reporting by th the child is listed on the Cover Sheet.
1 INFORMATION RELATES TO	☑ FILER ☐ SPOUSE ☐ DEPENDENT CHILD
² EMPLOYMENT	NAME AND ADDRESS OF EMPLOYER / POSITION HELD (Check if Filer's Home Address)
	United States Navy
	Naval Officer / Admiral
SELF-EMPLOYED	NATURE OF OCCUPATION Naval Officer [Retired 9/1/2014]
INFORMATION RELATES TO	☐ FILER ☐ SPOUSE ☐ DEPENDENT CHILD
EMPLOYMENT	NAME AND ADDRESS OF EMPLOYER / POSITION HELD (Check if Filer's Home Address)
	Washington Speakers Bureau
	Washington, DC
	Independent Speaker
SELF-EMPLOYED	NATURE OF OCCUPATION
	ODY AND ATTACH ADDITIONAL PAGES AS NECESSARY

MUTUAL FUNDS				PART 4
☐ NOT APPLICABLE				
List each mutual fund and the numb acquired during the calendar year a some or all of the shares of a mutua from the sale. For more information When reporting information about a providing the number under which the	nd indicate the category of al fund were sold, also indi n, see FORM PFSINSTR dependent child's activity,	f the number of shar cate the category of UCTION GUIDE , indicate the child al over Sheet.	res of mutual funds he the amount of the ne bout whom you are re	eld or acquired. If t gain or loss realized
¹ MUTUAL FUND	Brookfield Total Return Fi	NAN und (HTR)	ME	
² SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	X SPOUSE	DEPENDENT CHIL	D
³ NUMBER OF SHARES OF MUTUAL FUND	☐ LESS THAN 100 ☐ 5,000 TO 9,999		☐ 500 TO 999 E	☐ 1,000 TO 4,999
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND	Eaton Vance Tax MGCE	NAI F (ETY)	ME	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	X SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499✓ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD NET GAIN NET LOSS	☐ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND	First Trust North America		ME	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY		X SPOUSE	DEPENDENT CHIL	_D
NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499 ☐ 10,000 OR MORI	☐ 500 TO 999 E	☐ 1,000 TO 4,999
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
СОР	Y AND ATTACH ADDIT	IONAL PAGES AS	NECESSARY	

MUTUAL FUNDS				PART 4
☐ NOT APPLICABLE				
List each mutual fund and the numb acquired during the calendar year a some or all of the shares of a mutua from the sale. For more information When reporting information about a providing the number under which the	nd indicate the category of all fund were sold, also indi- a, see FORM PFSINSTR dependent child's activity,	f the number of shar cate the category of UCTION GUIDE indicate the child al	es of mutual funds he the amount of the ne	eld or acquired. If t gain or loss realized
1 MUTUAL FUND	ISHARES MSCI EAFE ET	NAN FF (EFA)	ΜE	
² SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	⊠ FILER	X SPOUSE	DEPENDENT CHIL	D
3 NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100		☐ 500 TO 999	☐ 1,000 TO 4,999
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND	ISHARES MSCI PAC EX	-JPN ETF (EPP)	ME	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	X SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHARES OF MUTUAL FUND	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
MUTUAL FUND	ISHARES NASDAQ BIO		ME	
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	X SPOUSE	DEPENDENT CHIL	
NUMBER OF SHARES OF MUTUAL FUND	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999 Ξ	☐ 1,000 TO 4,999
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	☐ \$25,000OR MORE
COP	Y AND ATTACH ADDIT	IONAL PAGES AS	NECESSARY	

Texas Ethics Commission P.O. Box	(12070 Austin, Texas 78	3711-2070	(512)463-5	5800	1-800-32	5-8506
MUTUAL FUNDS					PART	4
☐ NOT APPLICABLE						
List each mutual fund and the numacquired during the calendar year asome or all of the shares of a mutufrom the sale. For more information When reporting information about a providing the number under which	and indicate the category o al fund were sold, also indi n, see FORM PFSINSTR a dependent child's activity,	f the number of share cate the category of UCTION GUIDE indicate the child ab	es of mutual funds he the amount of the ne	eld or acqu t gain or lo	uired. If oss realiz	ed
¹ MUTUAL FUND	ISHARES RUSSELL 100	NAM OGRW ETF (IWF)	IE			
² SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	☑ FILER	X SPOUSE	DEPENDENT CHILI	D		
3 NUMBER OF SHARES OF MUTUAL FUND	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000	TO 4,999	
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,00	0OR MO	RE
MUTUAL FUND		NAN	ΛE.			
MOTONETOND	ISHARES S&P MID-CAP	400 g ETF (IJK)				
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	X SPOUSE	DEPENDENT CHIL	D		
NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000	TO 4,999	
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,00	00OR MO)RE
MUTUAL FUND	ISHARES S&P MID-CAF	NAI P 400 ETF (IJJ)	ME			
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	∑ FILER	X SPOUSE	DEPENDENT CHIL	D		
NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000	TO 4,999	
IF SOLD ☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,00	00OR MC)RE

☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999

IF SOLD

■ NET GAIN

☐ NET LOSS

\$10,000 - \$24,999 \$25,000--OR MORE

(512)//63_5800	1-800-325
(512)463-5800	1-800-325

Tavac	Ethice	Comm	iccion

Austin, Texas 78711-2070

	DART A
)	1-800-325-8506

MUTUAL FUNDS				PART 4			
☐ NOT APPLICABLE							
List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFSINSTRUCTION GUIDE When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet.							
¹ MUTUAL FUND	ISHARES US FIN SERVI	· · · · · · · · · · · · · · · · · · ·	ME				
² SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	⊠ FILER	X SPOUSE	DEPENDENT CHIL	.D			
³ NUMBER OF SHARES OF MUTUAL FUND	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999			
4 IF SOLD ☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	☐ \$25,000OR MORE			
MUTUAL FUND	MARKET VECTORS GO		ME				
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	X SPOUSE	DEPENDENT CHIL				
NUMBER OF SHARES OF MUTUAL FUND	☐ LESS THAN 100 ☐ 5,000 TO 9,999	X 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999 =	☐ 1,000 TO 4,999			
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	☐ \$25,000OR MORE			
MUTUAL FUND	PIMCO DYNAMIC INCO		ME				
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	X SPOUSE	☐ DEPENDENT CHIL	_D			
NUMBER OF SHARES OF MUTUAL FUND	☐ LESS THAN 100 ☐ 5,000 TO 9,999	X 100 TO 499 ☐ 10,000 OR MORI	☐ 500 TO 999 E	☐ 1,000 TO 4,999			
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	☐ \$25,000OR MORE			
COPY	Y AND ATTACH ADDITI	ONAL PAGES AS	NECESSARY	and account at the other his his distribution at the other his distribution and the			

Texas Ethics Commission P.O. E	Box 12070 Austin, Texas 7	8711-2070	(512)463-	5800 1-800-3	25-8506
MUTUAL FUNDS				PART	· 4
☐ NOT APPLICABLE					
List each mutual fund and the nu acquired during the calendar yea some or all of the shares of a mu from the sale. For more informat When reporting information abou providing the number under which	r and indicate the category of tual fund were sold, also ind ion, see FORM PFSINSTR t a dependent child's activity	of the number of shar icate the category of RUCTION GUIDE , indicate the child a	res of mutual funds he the amount of the ne	eld or acquired. If et gain or loss reali	
¹ MUTUAL FUND	POWERSHARES ETF T	NAI R DYNA BUYBK (PK			
² SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	X SPOUSE	DEPENDENT CHIL	D	P
³ NUMBER OF SHARES OF MUTUAL FUND	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999	
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MO	ORE
MUTUAL FUND	SPDR S&P INTER DVD	NAI ETF (DWX)	ME		
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	X SPOUSE	DEPENDENT CHIL	D	
NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999	
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MO	ORE
MUTUAL FUND	SPDR TRUST SERIES I	(SPY)	ME		
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	X SPOUSE	DEPENDENT CHIL	.D	Nonce de la contraction de la

☐ 100 TO 499

☐ 10,000 OR MORE

☐ 500 TO 999

☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☐ \$25,000--OR MORE

X LESS THAN 100

5,000 TO 9,999

NUMBER OF SHARES

□ NET GAIN

☐ NET LOSS

OF MUTUAL FUND

IF SOLD

1,000 TO 4,999

MUTUAL FUNDS				PART 4	
☐ NOT APPLICABLE					
List each mutual fund and the number acquired during the calendar year a some or all of the shares of a mutual from the sale. For more information When reporting information about a providing the number under which the	nd indicate the category or al fund were sold, also indi n, see FORM PFSINSTR dependent child's activity,	f the number of shar cate the category of UCTION GUIDE , indicate the child al	es of mutual funds he the amount of the ne	eld or acquired. If et gain or loss realized	
¹ MUTUAL FUND	VANGUARD DIVIDEND APPRECIATION (VIG)				
² SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	⊠ FILER	X SPOUSE	DEPENDENT CHIL	D	
³ NUMBER OF SHARES OF MUTUAL FUND	X LESS THAN 100☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999	
4 IF SOLD ☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE	
MUTUAL FUND	VANGUARD EUROPEAN	NAI N MSCI ETF (VGK)	ME		
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	X SPOUSE	DEPENDENT CHIL	.D	
NUMBER OF SHARES OF MUTUAL FUND	☐ LESS THAN 100 ☐ 5,000 TO 9,999	X 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999	
IF SOLD NET GAIN NET LOSS	☐ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	☐ \$25,000OR MORE	
MUTUAL FUND	PRUDENTIAL JENNISO	NA N HLTH SCI (PHSZX			
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	X SPOUSE	DEPENDENT CHIL	.D	
NUMBER OF SHARES OF MUTUAL FUND	☐ LESS THAN 100 ☐ 5,000 TO 9,999	X 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999	
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE	
COPY	Y AND ATTACH ADDITI	ONAL PAGES AS	NECESSARY		

Texas Ethics Commission P.O. Bo	x 12070 Austin, Texas 78	8711-2070	(512)463-	5800 1-800-32	.5-8506
MUTUAL FUNDS				PART	4
☐ NOT APPLICABLE					
List each mutual fund and the num acquired during the calendar year a some or all of the shares of a mutu from the sale. For more information When reporting information about a providing the number under which	and indicate the category o al fund were sold, also indi n, see FORM PFSINSTR a dependent child's activity	of the number of shar icate the category of CUCTION GUIDE , indicate the child al	res of mutual funds he the amount of the ne	eld or acquired. If at gain or loss realiz	:ed
¹ MUTUAL FUND	ISHARES I BOXX HYCO	NAP R BD ETF (HYG)	ME		
² SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	X SPOUSE	DEPENDENT CHIL	D	
3 NUMBER OF SHARES OF MUTUAL FUND	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999	
4 IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	☐ \$25,000OR MO	RE
MUTUAL FUND	SPDR GOLD TR GOLD	NAI SHS (GLD)	ME		
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	X SPOUSE	DEPENDENT CHIL	D	
NUMBER OF SHARES OF MUTUAL FUND	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999	
IF SOLD ☑ NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MO	/RE
MUTUAL FUND	BLACKROCK RES & CC	NAI DMM STRAT TR (BC)			
SHARES OF MUTUAL FUND					

X SPOUSE

X 100 TO 499

☐ 10,000 OR MORE

DEPENDENT CHILD _____

500 TO 999

X LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☐ \$25,000--OR MORE

X FILER

LESS THAN 100

5,000 TO 9,999

HELD OR ACQUIRED BY

■ NET GAIN

X NET LOSS

NUMBER OF SHARES

OF MUTUAL FUND

IF SOLD

___ 1,000 TO 4,999

∢12070 Austin. Texas 7∜	3711-2070	(512)463-	.5800 1-800-325-850 <i>6</i>
			PART 4
and indicate the category o al fund were sold, also indi n, see FORM PFSINSTR a dependent child's activity	f the number of sha cate the category of UCTION GUIDE , indicate the child a	res of mutual funds he the amount of the ne	eld or acquired. If et gain or loss realized
ALERIAN MLP ETF (AMI		ME	
X FILER	X SPOUSE	DEPENDENT CHIL	D
X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
FIDELITY CONTRA FD (ME	
☐ FILER	X SPOUSE	DEPENDENT CHIL	.D
☐ LESS THAN 100 ☐ 5,000 TO 9,999	X 100 TO 499☐ 10,000 OR MORE	□ 500 TO 999	☐ 1,000 TO 4,999
LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	☐ \$25,000OR MORE
	ber of shares in that mutual and indicate the category of all fund were sold, also indicate, see FORM PFSINSTR dependent child's activity the child is listed on the Constant ALERIAN MLP ETF (AMILESS THAN 100 S,000 TO 9,999 LESS THAN \$5,000 FIDELITY CONTRA FD (Constant Incomplete In	ber of shares in that mutual fund that you, your and indicate the category of the number of shares all fund were sold, also indicate the category of in, see FORM PFSINSTRUCTION GUIDE in dependent child's activity, indicate the child at the child is listed on the Cover Sheet. ALERIAN MLP ETF (AMLP) X FILER	ber of shares in that mutual fund that you, your spouse, or a dependent indicate the category of the number of shares of mutual funds hal fund were sold, also indicate the category of the amount of the near, see FORM PFSINSTRUCTION GUIDE In dependent child's activity, indicate the child about whom you are restricted that child is listed on the Cover Sheet. ALERIAN MLP ETF (AMLP)

MUTUAL FUND LORD ABBETT MULTI ASSET SWC (LWSCX) SHARES OF MUTUAL FUND ☐ FILER X SPOUSE DEPENDENT CHILD _____ HELD OR ACQUIRED BY NUMBER OF SHARES LESS THAN 100 ☐ 100 TO 499 X 500 TO 999 1,000 TO 4,999 OF MUTUAL FUND 5,000 TO 9,999 ☐ 10,000 OR MORE IF SOLD ■ NET GAIN ☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☐ \$25,000--OR MORE ☐ NET LOSS COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

Texas Ethics Commission P.O. Box	t 12070 Austin, Texas 78	R711 2070	(512)//63	5800	1-800-325-8506
MUTUAL FUNDS	Austin, Texas 76	5/11-20/0	(512)463-	-5000	PART 4
□ NOT APPLICABLE					TAKL
List each mutual fund and the number acquired during the calendar year assome or all of the shares of a mutual from the sale. For more information. When reporting information about a providing the number under which the	and indicate the category o al fund were sold, also indi n, see FORM PFSINSTR a dependent child's activity	of the number of share icate the category of CUCTION GUIDE , indicate the child a	res of mutual funds he the amount of the ne	eld or acqu et gain or lo	uired. If oss realized
¹ MUTUAL FUND	LORD ABBETT MULTI A	NAI SSET INC C (ISFCX			
² SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	FILER	X SPOUSE	DEPENDENT CHIL	D	
3 NUMBER OF SHARES OF MUTUAL FUND	☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	∑ 500 TO 999	1,000	ГО 4,999
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,00	0OR MORE
MUTUAL FUND	PIMCO GLOBAL MULTI	NA ASSET C (PGMCX)	ME		
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	☐ FILER	X SPOUSE	DEPENDENT CHIL	.D	
NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	∑ 500 TO 999	1,000	ГО 4,999
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,00	0OR MORE
MUTUAL FUND	VANGUARD DIVIDEND		ME		
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	.D	

X 100 TO 499

☐ 10,000 OR MORE

☐ 500 TO 999

☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☐ \$25,000--OR MORE

LESS THAN 100

5,000 TO 9,999

NUMBER OF SHARES

☐ NET GAIN

□ NET LOSS

OF MUTUAL FUND

IF SOLD

1,000 TO 4,999

Texas Ethics Commission		12070 Austin, Texas 78	3711-2070	(512)463-	5800	1-800-325-850
MUTUAL FI						PART 4
☐ NOT APPLIC.	ABLE 					
acquired during the some or all of the s from the sale. For When reporting info	e calendar year a hares of a mutua more informatior ormation about a	per of shares in that mutua nd indicate the category o al fund were sold, also indi n, see FORM PFSINSTR dependent child's activity he child is listed on the Co	f the number of shar cate the category of UCTION GUIDE , indicate the child al	es of mutual funds he the amount of the ne	eld or acqu t gain or lo	uired. If oss realized
¹ MUTUAL FUND		BLACKROCK GLOBAL A	NAM LLOCATION (MCLD			
			,	,		
² SHARES OF MU HELD OR ACQU		⊠ FILER	SPOUSE	DEPENDENT CHIL	D	
3 NUMBER OF SH OF MUTUAL FU		☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☒ 1,000 Т	⁻ O 4,999
. –	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000	0OR MORE
MUTUAL FUND		BLACKROCK HI YIELD I	NAM BD PTEC (BHYCX)	ME		
		benoting the first the fir	3B1110 (B1110X)			
SHARES OF MU HELD OR ACQU		☑ FILER	SPOUSE	DEPENDENT CHIL	D	
NUMBER OF SH OF MUTUAL FU		☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	∑ 1,000 ⊤	⁻O 4,999
	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000	0OR MORE
MUTUAL FUND		PIMCO ALL ASSET ALL	NAT AUTHORITY (PAUC			
SHARES OF MU HELD OR ACQU			SPOUSE	DEPENDENT CHIL	D	

☐ 100 TO 499

☐ 10,000 OR MORE

X 500 TO 999

LESS THAN 100

5,000 TO 9,999

NUMBER OF SHARES

■ NET GAIN

☐ NET LOSS

OF MUTUAL FUND

IF SOLD

___ 1,000 TO 4,999

Texas Ethics Comm	nission P.O. Box	. 12070 Austin, Texas 78	R711 2070	(512)/62	5800	1-800-325-850
MUTUAL		Austin, Texas 70	3/11-20/0	(512)463-	-5600	PART 4
	PLICABLE					
acquired durin some or all of from the sale. When reporting	g the calendar year a the shares of a mutua For more informatior g information about a	per of shares in that mutual and indicate the category of al fund were sold, also indi n, see FORM PFSINSTR dependent child's activity he child is listed on the Co	of the number of share to the category of CUCTION GUIDE , indicate the child a	res of mutual funds h the amount of the ne	eld or acqu et gain or lo	ired. If ess realized
¹ MUTUAL FU	IND	PIMCO FUNDAMENTAL	NAI S IDXPLS ARC (PIXC			
	MUTUAL FUND CQUIRED BY	⊠ FILER	SPOUSE	DEPENDENT CHIL	.D	
3 NUMBER OF MUTUAL		☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☒ 1,000 7	O 4,999
4 IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,00	0OR MORE
MUTUAL FU	IND	PIMCO GLOBAL MULTI-	NAI ASSET C (FGMCX)	ME		
1	MUTUAL FUND CQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	.D	
NUMBER OF OF MUTUAL		☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	ר 1,000 🗵	O 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,00)OR MORE
MUTUAL FU	IND	FAM VALUE FUND	NAI	ME		
	F MUTUAL FUND CQUIRED BY	☐ FILER	SPOUSE	□ DEPENDENT CHIL	.D <u>1</u>	
NUMBER OF MUTUAL		LESS THAN 100	X 100 TO 499	☐ 500 TO 999	1,000	O 4,999

☐ 10,000 OR MORE

X LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☐ \$25,000--OR MORE

5,000 TO 9,999

IF SOLD

X NET GAIN

☐ NET LOSS

Texas Ethics Commission P.O. Bo	ox 12070 Austin, Texas 78	8711-2070	(512)463-	5800 1-800-	325-8506
MUTUAL FUNDS				PAR	т 4
☐ NOT APPLICABLE					
List each mutual fund and the numacquired during the calendar year some or all of the shares of a mutufrom the sale. For more information When reporting information about providing the number under which	and indicate the category of ual fund were sold, also indican, see FORM PFSINSTR a dependent child's activity	of the number of shar icate the category of RUCTION GUIDE , indicate the child at	es of mutual funds he the amount of the ne	eld or acquired. I t gain or loss rea	
¹ MUTUAL FUND	FIDELITY CONTRAFUNI	NAN D (FCNTX)	ИE		
		,			
² SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	☑ FILER	X SPOUSE	DEPENDENT CHIL	D	
3 NUMBER OF SHARES OF MUTUAL FUND		☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999	9
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR N	10RE
MUTUAL FUND	FAM VALUE FUND	NAM	ME		
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	☐ FILER	X SPOUSE	DEPENDENT CHIL	D	
NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	∑ 500 TO 999	1,000 TO 4,999	9
IF SOLD ☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	☐ \$25,000OR N	10RE
MUTUAL FUND	FAM VALUE FUND	NAI	ME		
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	FILER	X SPOUSE	DEPENDENT CHIL	D	

☐ 100 TO 499

☐ 10,000 OR MORE

☐ 500 TO 999

☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☐ \$25,000--OR MORE

X LESS THAN 100

5,000 TO 9,999

NUMBER OF SHARES

■ NET GAIN

☐ NET LOSS

OF MUTUAL FUND

IF SOLD

☐ 1,000 TO 4,999

INCOME FROM INT	EREST, DIVIDEN	NDS, ROYAL	TIES & RENTS PART 5
☐ NOT APPLICABLE			
	rents during the calendar y	ear and indicate the	cess of \$500 that was derived from category of the amount of the income. For
When reporting information about providing the number under which	•	-	about whom you are reporting by
¹ SOURCE OF INCOME	US SAVINGS BONDS / NA	NAME AND AD	
² RECEIVED BY	X FILER	SPOUSE	DEPENDENT CHILD
³ AMOUNT	☒ \$500 - \$4,999	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE
SOURCE OF INCOME		NAME AND AD	DRESS
	MORGAN STANLEY		
RECEIVED BY	X FILER	X SPOUSE	DEPENDENT CHILD
AMOUNT	\$500 - \$4,999	X \$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE
SOURCE OF INCOME		NAME AND AD	DRESS
	RANDOM HOUSE		
RECEIVED BY	☑ FILER	SPOUSE	DEPENDENT CHILD
AMOUNT	☒ \$500 - \$4,999	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE
CC	DPY AND ATTACH ADDI	TIONAL PAGES AS	NECESSARY

PERSONAL FINANCIAL STATEMENT PARTS MARKED 'NOT APPLICABLE' BY FILER

P.O. Box 12070

Rather than printing a page for each Part the filer checked 'Not Applicable,' this page summarizes whether the 'Not Applicable' checkbox was checked for each Part. If the checkbox is checked next to a Part below, then no pages for that Part should be present in the report. If a checkbox is not checked, then pages for that Part should be present in the report.

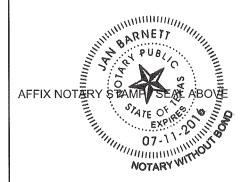
	N/A	Part 1A - Sources of Occupational Income
X	N/A	Part 1B - Retainers
X	N/A	Part 2 - Stock
X	N/A	Part 3 - Bonds, Notes & Other Commercial Paper
	N/A	Part 4 - Mutual Funds
	N/A	Part 5 - Income from Interest, Dividends, Royalties & Rents
X	N/A	Part 6 - Personal Notes and Lease Agreements
X	N/A	Part 7A - Interests in Real Property
X	N/A	Part 7B - Interests in Business Entities
X	N/A	Part 8 - Gifts
X	N/A	Part 9 - Trust Income
X	N/A	Part 10A - Blind Trusts
X	N/A	Part 10B - Trustee Statement
X	N/A	Part 11A - Assets of Business Associations
X	N/A	Part 11B - Liabilities of Business Associations
X	N/A	Part 12 - Boards and Executive Positions
X	N/A	Part 13 - Expenses Accepted Under Honorarium Exception
X	N/A	Part 14 - Interest in Business in Common with Lobbyist
X	N/A	Part 15 - Fees Received for Services Rendered to a Lobbyist or Lobbyist's Employer
X	N/A	Part 16 - Representation by Legislator Before State Agency
X	N/A	Part 17 - Benefits Derived from Functions Honoring Public Servant
X	N/A	Part 18 - Legislative Continuances

PERSONAL FINANCIAL STATEMENT AFFIDAVIT

The law requires the personal financial statement to be verified. The vertication page must have the signature of the individual required to file the personal financial statement, as well as the signature and stamp or seal of office of a notary public or other person authorized by law to administer oaths and affirmations. Without proper verification, the statement is not considered filed.

I swear, or affirm, under penalty of perjury, that this financial statement covers calendar year ending December 31, **2014**, and is true and correct and includes all information required to be reported by me under chapter 572 of the Government Code.

Signature of Filer



Sworn to and subscribed before me by this the day of March, 20 15, to certify which, witness my hand and seal of office.

Jan Barnett

Printed name of officer administering oath

Title of officer administering oath

Signature of officer administering oath

THE UNIVERSITY OF TEXAS SYSTEM
Office of the Chancellor
601 COLORADO STREET, AUSTIN, TEXAS 78701-2982

MAR 23 205

lexas Ethics Commission

Texas Ethics Commission PO Box 12070 Austin, TX 78711