1 2 3 4 5 6 7 UNITED STATES DISTRICT COURT WESTERN DISTRICT OF WASHINGTON 8 AT SEATTLE 9 STATE OF WASHINGTON. NO. 10 Plaintiff, **COMPLAINT** 11 Freedom of Information Act, 5 U.S.C. § 552 12 U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION, an 13 agency of the United States, 14 Defendant. 15 I. INTRODUCTION 16 1. This is an action under the Freedom of Information Act (FOIA), 5 U.S.C. § 552, 17 seeking the release of records unlawfully withheld by the U.S. National Archives and Records 18 Administration (NARA) related to the potential sale of the facility that houses the National 19 Archives at Seattle. This is the second time the Washington State Attorney General's Office (the 20 AGO) has sought FOIA documents on this issue.1 Two months have passed since the AGO 21 submitted its new FOIA request, but NARA has not yet provided any substantive response to the 22 23 24 <sup>1</sup> The AGO initially sought FOIA documents from NARA on this issue on February 3, 2020. After NARA failed to respond to the AGO's FOIA request, the AGO filed suit in this Court challenging the unlawful 25 withholding. See State of Washington v. National Archives and Records Administration, No. 2:20-cv-01232-RSL (W.D. Wash. 2020). Following initiation of litigation, NARA began providing the AGO with responsive 26 materials. That case is still pending before the court.

State's request. NARA has not notified the AGO that additional time is needed to process the FOIA Request, nor has it asked the AGO to clarify or modify its FOIA request.

- 2. On January 26, 2020, the Office of Management and Budget (OMB) approved a recommendation of the Public Buildings Reform Board (PBRB) to sell the Federal Archives and Records Center located at 6125 Sand Point Way NE, Seattle, WA 98115 (the Seattle facility). The Seattle facility is currently occupied by NARA and houses the National Archives at Seattle.
- 3. The National Archives at Seattle provides public access to permanent records created by Federal agencies and courts in Alaska, Idaho, Oregon, and Washington. It houses a significant body of tribal and treaty records relating to the federally recognized tribes and native corporations in the Pacific Northwest, including records from Bureau of Indian Affairs offices and Indian agencies and schools in Alaska, Idaho, Oregon, and Washington. It also maintains 50,000 files related to the Chinese Exclusion Act of 1882, as well as some records related to the internment of Japanese-Americans in World War II. The National Archives at Seattle is routinely used by researchers, historians, genealogists, and tribes in the Pacific Northwest.
- 4. The PBRB's report recommending the sale of the Seattle facility (the PBRB Report<sup>2</sup>) proposed moving the federal records and archival materials at the Seattle facility to other NARA facilities in Kansas City, Missouri, and Riverside, California. OMB approved the PBRB's decision to sell the Seattle facility and eleven other federal properties on January 26, 2020.
- 5. Neither PBRB nor OMB conducted outreach to state, local, or tribal officials prior to publicly announcing the sale of the facility housing the National Archives at Seattle. As a result, state, local, and tribal officials were not given the opportunity to present information detailing how the pending sale would harm them. There were also no public hearings in Washington, Idaho, Oregon, or Alaska, where members of the public could have provided input

https://www.pbrb.gov/pbrb/files/2021/01/20191227-High-Value-Assets-Report-as-Required-by-FASTA.pdf (last visited April 26, 2021).

and information about the importance of keeping records at the Seattle facility in the Pacific Northwest.

- 6. The PBRB Report states that NARA "indicated its willingness and desire to consolidate operations at more modern existing NARA facilities." Regarding the effect that closing the facility might have on the public's "access to services," the PBRB Report states that "NARA ha[d] determined that it can fulfill its mission needs at the target relocation facilities."
- 7. In October 2020, the PBRB posted meeting minutes on its website which disclosed that the PBRB had decided to bundle the National Archives at Seattle with the other eleven High Value Asset properties, and would bring all 12 properties to market by early 2021.<sup>3</sup> PBRB officials claimed that impact of the coronavirus pandemic on the commercial real estate market and the "need for certainty of sales proceeds" justified its new sales approach and timeline.<sup>4</sup>
- 8. On January 4, 2021, the States of Washington and Oregon along with a broad coalition of impacted tribes and native corporations as well as historical and community organizations filed suit against OMB, NARA, GSA, and PBRB related to the proposed closure and sale of the Seattle facility in this Court. *Washington v. Young*, Case No. 2:21-cv-00002-JCC (W.D. Wash. 2021).
- 9. On February 16, 2021, the Honorable John C. Coughenour granted the plaintiffs' motion for preliminary injunction and preliminary enjoined the selling of the Seattle facility under the Federal Assets Sale and Transfer Act of 2016 (FASTA). *Id.* at Dkt. #45.
- 10. Following that ruling, on April 8, 2021, OMB withdrew its January 24, 2020 decision approving the PBRB's recommendation to sell the Seattle facility. *Id.* at Dkt. #52-1. In its letter rescinding its approval, OMB stated that "the decision to approve the sale of the Federal Archives and Records Center is contrary to this Administration's tribal-consultation policy." *Id.*

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<sup>&</sup>lt;sup>3</sup> See PBRB website, Materials and Transcript of PBRB Meeting held on Oct. 1, 2020, https://www.pbrb.gov/pbrb/files/2021/01/October-1-2020-Public-Meeting-Agenda-and-Presentation.pdf. <sup>4</sup> Id.

- 11. OMB did not, however, preclude any future sale of the Seattle facility under FASTA. Instead, OMB advised the PBRB of certain steps it would have to take before being able to recommend the sale of the Seattle facility in the future. *See id*.
- 12. On February 25, 2021, the AGO submitted a request for certain documents to NARA (the FOIA Request), via both electronic mail and the U.S. Postal Service. *See* Ex. A.
- 13. The AGO's February 25, 2021 FOIA request sought various types of records including "[a]ll records and communications related to the potential consolidation or closure of any of the 14 regional NARA facilities, including but not limited to the National Archives at Seattle and the National Archives at San Francisco (San Bruno), from January 1, 2017 to February 24, 2021." The AGO sought such records because it learned from documents produced in response to a prior FOIA request that NARA, at least under the prior Administration, intended to allow both the National Archives at Seattle and the National Archives at San Francisco (San Bruno) to be sold under FASTA and consolidated with the National Archives at Riverside, leaving only one public NARA facility on the entire West Coast. See, e.g., Ex. B.
- 14. The AGO's February 25, 2021 FOIA request also sought records regarding the condition of the Seattle facility based on statements by NARA that significant repairs must occur to the facility in order to ensure the long-term safety of the records at that facility.
- 15. On March 1, 2021, the AGO received an electronic mail message from susan.gillett@nara.gov confirming NARA "received your new FOIA request . . . on February 25, 2021." The electronic mail message listed an internal tracking number NGC21-311 in addition to a FOIA online tracking number NARA-NGC-2021-000575. The communication also referenced the AGO's request for a fee waiver and noted that the AGO's narrative provided sufficient information about the basis of its fee waiver request.
- 16. The AGO has received no communication from NARA regarding the FOIA Request since the agency's initial electronic email messages confirming receipt.

17. In light of the critical regional importance of the records held at the National Archives of Seattle, Washingtonians and all residents of the Pacific Northwest, including the many federally-recognized tribes and native corporations in this region, deserve to know whether there are plans to consolidate NARA facilities and limit public access to federal archival records for the entire West Coast to one facility in Southern California. In addition, Washingtonians and all residents of the Pacific Northwest likewise deserve to understand the conditions of the Seattle facility and what repairs must be made in order to ensure the long-term safety of the documents held there, so that interested stakeholders can lobby and/or conduct outreach for the appropriations of such funds.

## II. JURISDICTION AND VENUE

- 18. This Court has jurisdiction over this action under the Freedom of Information Act, 5 U.S.C. § 552(a)(4)(B), and under 28 U.S.C. § 1331, because this action arises under the laws of the United States, including FOIA and the Declaratory Judgment Act, 28 U.S.C. § 2201.
- 19. Venue is proper in this court under 5 U.S.C. § 552(a)(4)(B), as the FOIA Request was issued from a State of Washington office in Seattle, in the Western District of Washington.
- 20. Because NARA failed to respond to the FOIA Request, or even to notify the AGO of an extension of time, within 20 business days, no administrative appeal is required under 5 U.S.C. § 552(a)(6)(C).

## III. PARTIES

21. Plaintiff State of Washington is a sovereign entity and brings this action to protect its own sovereign and proprietary rights, and as *parens patriae* on behalf of its affected citizens and residents. The Attorney General is the chief legal adviser to the State of Washington. The Attorney General's powers and duties include acting in federal court on matters of public concern. This challenge is brought pursuant to the Attorney General's independent constitutional, statutory, and common law authority to bring suit and obtain relief on behalf of the State of Washington.

22. Defendant National Archives and Records Administration is an agency of the United States. NARA has possession of and control over the records sought by the AGO in its February 25, 2021 FOIA Request.

## IV. STATUTORY BACKGROUND

- 23. FOIA requires agencies to "make available to the public information." 5 U.S.C. § 552(a). Any information subject to FOIA must be disclosed. "[E]ach agency, upon any request for records . . . shall make the records promptly available to any person." *Id.* § 552(a)(3).
- 24. "[U]pon any request for records made under [§ 552(a)](1), (2), or (3)," an agency has 20 business days to determine whether to comply. *Id.* § 552(a)(6)(A). NARA's FOIA regulations also require a response within 20 days or notification to the requester that "complicating factors" prevent NARA from making a decision within 20 working days. 36 C.F.R. § 1250.26.
- 25. An agency may only withhold documents that fall within one of the enumerated exemptions from FOIA. If an agency withholds documents, it must provide a "Vaughn Index" that adequately identifies the specific documents withheld. See Vaughn v. Rosen, 484 F.2d 820, 827 (D.C. Cir. 1973). "A withholding agency must describe each document or portion thereof withheld, and for each withholding it must discuss the consequences of disclosing the sought-after information." King v. U.S. Dep't of Justice, 830 F.2d 210, 223–24 (D.C. Cir. 1987) (emphasis in original); see also Wiener v. FBI, 943 F.2d 972, 978 (9th Cir. 1991) (requiring a Vaughn Index and finding it inadequate); Andrus v. United States Dep't of Energy, 200 F. Supp. 3d 1093, 1105 (D. Idaho 2016) (same).
- 26. A court may review an agency's decision to withhold documents, and "the burden is on the agency to sustain its action." 5 U.S.C. § 552(a)(4)(B). An agency cannot justify its withholding by offering nothing more than "[c]onclusory and generalized allegations." *Nat'l Parks & Conservation Ass'n v. Kleppe*, 547 F.2d 673, 680 (D.C. Cir. 1976). When the

1	agency fails to sustain its burden, the court may "order the production of any agency records
2	improperly withheld from the complainant." 5 U.S. C. § 552(a)(4)(B).
3	V. STATEMENT OF FACTS
4	27. On February 25, 2021, the AGO submitted a request for certain documents to
5	NARA, via both electronic mail and the U.S. Postal Service. See Ex. A.
6	28. The AGO's February 25, 2021 FOIA Request sought:
7	a. "All records and communications related to the Federal Archives and
8	Records Center (including the National Archives at Seattle) located at 6125 Sand Point
9	Way NE, Seattle, WA 98115.
10	i. "This request includes, but is not limited to, communications regarding
11	the above identified property with the Public Buildings Reform Board;
12	the General Services Administration; the Office of Management and
13	Budget; individuals at Coldwell Banker Richard Ellis; members of the
14	press; members of the public; and/or any public officials in the States of
15	Washington, Oregon, Alaska, and/or Idaho (including those at the federal,
16	state, and/or local level).
17	ii. "This request applies to records created or transmitted at any time from
18	January 29, 2020 through the date of this request.
19	b. "All records and communications related to tribal consultation from
20	January 29, 2020 through the date of this request.
21	c. "All records and communications related to the 2017 Facility Condition
22	Assessment conducted by the General Services Administration on the Federal Archives
23	and Records Center (including the National Archives at Seattle) located at 6125 Sand
24	Point Way NE, Seattle, WA 98115 from January 1, 2017 to May 10, 2019.
25	d. "All records and communications related to the conditions of the
26	building(s) and/or facility(ies) of the Federal Archives and Records Center (including the

1 National Archives at Seattle) located at 6125 Sand Point Way NE, Seattle, WA 98115, 2 from January 1, 2017 to May 10, 2019. 3 "All records and communications, including but not limited to 4 communications with the National Archives and Records Administration (NARA), 5 related to options to allow for NARA's continued presence in the Seattle area and/or 6 Washington State and/or the Pacific Northwest region, from January 1, 2017 to May 10, 7 2019. 8 f. "All records and communications related to the potential consolidation or 9 closure of any of the 14 regional NARA facilities, including but not limited to the 10 National Archives at Seattle and the National Archives at San Francisco (San Bruno), 11 from January 1, 2017 to February 24, 2021." 12 29. On March 1, 2021, the AGO received an electronic mail message from 13 susan.gillett@nara.gov confirming NARA "received your new FOIA request . . . on February 14 25, 2021" with the "FOIAonline tracking number NARA-NGC-2021-000575." 15 30. NARA was required by FOIA to respond to the FOIA Request by March 30, 16 2021. 17 31. The AGO has received no communication from NARA regarding the FOIA 18 Request since the initial March 1, 2021 electronic email message confirming receipt. 19 32. NARA has not notified the AGO that additional time is needed to process the 20 February 25, 2021 FOIA Request, nor has NARA asked the AGO to clarify or modify the FOIA 21 request. 22 23 24 25 26

1 2	,	VI. FIRST CLAIM FOR RELIEF Violation of the Freedom of Information Act – Failure to Respond 5 U.S.C §§ 552(a)(3), (a)(4)(B)	
3	33.	Plaintiff realleges and incorporates by reference each of the allegations set forth	
4	in the preced	ing paragraphs.	
5	34.	NARA violated FOIA by failing to respond to the FOIA Request for records	
6	within 20 bu	siness days.	
7 8	VII. SECOND CLAIM FOR RELIEF  Violation of the Freedom of Information Act – Failure to Produce Records  5 U.S.C §§ 552(a)(3), (a)(4)(B)		
9	35.	Plaintiff realleges and incorporates by reference each of the allegations set forth	
10	in the preced	ing paragraphs.	
11	36.	NARA further violated FOIA by failing to conduct searches reasonably	
12	calculated to	o locate the requested records, and promptly provide records, or reasonably	
13	segregable po	ortions of lawfully exempt responsive records, in response to the AGO's request for	
14	records.		
15		VIII. PRAYER FOR RELIEF	
16	The S	State of Washington respectfully requests that this Court:	
17	1.	Declare that the NARA violated FOIA by failing to make a determination on the	
18	FOIA Reque	st within the time period prescribed by law;	
19	2.	Declare that NARA violated FOIA by failing to conduct searches reasonably	
20	calculated to	locate the requested records, and promptly provide all responsive records;	
21	3.	Declare that NARA waived its right to request fees for processing the AGO's	
22	request by fa	iling to respond timely to the request;	
23	4.	Order NARA to provide a determination on the FOIA Request, at no cost to the	
24	State of Was	hington;	
25			
26			

5.	Order NARA to conduct searches reasonably calculated to locate the requested			
records, and release all records responsive to the FOIA Request within 20 days of this Court's				
order, at no cost to the State of Washington;				
6.	Enjoin NARA from withholding agency records and order the production of all			
agency records improperly withheld from the State of Washington;				
7.	Retain jurisdiction over this action to ensure the processing of the FOIA Request			
is in compliance with FOIA and any orders of this Court;				
8.	Award Plaintiff its costs of litigation, including reasonable attorney fees as			
provided by FOIA, and any other applicable provision of law; and				
9.	Grant such other relief as the Court may deem just and proper.			
RES	PECTFULLY SUBMITTED this 27th day of April 2021.			
	ROBERT W. FERGUSON Attorney General			
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