

SENSITIVE SECURITY INFORMATION

REV 4

SPOT REFERRAL REPORT

Version 4.0

Revised 02/23/2009

PART I: Behavioral Observation

Date:	Time:	SPOT Team Member (Last, First):
Airport:	Location:	SPOT Team Member (Last, First):
Initial Observation Reported by:		SPOT Team Member (Last, First):

Section 1 Environmental Baseline (Please provide a brief narrative of the environmental baseline at the time of the referral below)

Section 2 Observation and Behavior Analysis

Males 20-40 years old traveling together who are NOT part of a family

1 Point (each): STRESS Factors

- \_\_\_\_\_ Arrives late for flight, if known
- \_\_\_\_\_ Avoids eye contact with security personnel or LEO
- \_\_\_\_\_ Exaggerated yawning as the individual approaches the screening process
- \_\_\_\_\_ Excessive fidgeting, clock watching, head-turning, shuffling feet, leg shaking
- \_\_\_\_\_ Excessive perspiration inconsistent with the environment.
- \_\_\_\_\_ Face pale from recent shaving of beard
- \_\_\_\_\_ Facial flushing while undergoing screening
- \_\_\_\_\_ Faster eye blink rate when individual requested to submit to screening procedures
- \_\_\_\_\_ Increased breathing rate, panting
- \_\_\_\_\_ Obvious "Adam's Apple" jump when requested to submit to screening procedures
- \_\_\_\_\_ Protruding or beating neck arteries
- \_\_\_\_\_ Repetitive touching of face
- \_\_\_\_\_ Rubbing or wringing of hands
- \_\_\_\_\_ Strong body odor
- \_\_\_\_\_ Sweaty palms
- \_\_\_\_\_ Trembling
- \_\_\_\_\_ Whistling as the individual approaches the screening process

2 Points (each): FEAR Factors

- \_\_\_\_\_ Bag appears to be heavier than expected or bag does not suit the individuals appearance
- \_\_\_\_\_ Bulges in clothing
- \_\_\_\_\_ Cold penetrating stare
- \_\_\_\_\_ Constantly looking at other travelers or associates
- \_\_\_\_\_ Exaggerated emotions or inappropriate behaviors to the location such as crying, excessive laughter, or chatter
- \_\_\_\_\_ Exaggerated, repetitive grooming gestures
- \_\_\_\_\_ Hesitation/indcision on entering checkpoint or submitting to screening process
- \_\_\_\_\_ Individuals who are seemingly unrelated but display identical dress or luggage
- \_\_\_\_\_ Powerful grip of a bag and/or hand inside the bag
- \_\_\_\_\_ Rigid posture, minimal body movements with arms close to sides
- \_\_\_\_\_ Scans area, appearing to look for security personnel or LEO
- \_\_\_\_\_ Shows unusual interest in security officers and their work routine
- \_\_\_\_\_ Displays arrogance and verbally expresses contempt for the screening process
- \_\_\_\_\_ Wearing improper attire for location
- \_\_\_\_\_ Widely open staring eyes

3 Points (each): DECEPTION Factors

- \_\_\_\_\_ Appears to be confused or disoriented
- \_\_\_\_\_ Appears to be in disguise
- \_\_\_\_\_ Asks the BDO security-related questions
- \_\_\_\_\_ Does not respond to authoritative commands
- \_\_\_\_\_ Maintains covert ties with others (maintaining consistent eye contact with others, exhibiting hand gestures to others or passing objects to others)
- \_\_\_\_\_ Repeatedly pats upper body with hands (not associated with divesting objects at X-ray or Walk Through Metal Detector (WTMD))

1 Point: SELECTEE

- \_\_\_\_\_ Boarding pass indicates SELECTEE

Deduct 2 Points: MEMBER OF FAMILY

- \_\_\_\_\_ Member of a Family

Deduct 2 Points: MARRIED COUPLE

- \_\_\_\_\_ Apparent married couple with both spouses over 55 years old

Deduct 1 Point: LOW RISK

- \_\_\_\_\_ Female over 55 years old or Male over 65 years old

Section 2 Sub-Total: \_\_\_\_\_

If score = 0-3 points, allow individual to proceed  
 If score = 4-5 points, refer for selectee screening  
 If score = 6 or more, refer for selectee screening & notify LEO

PART II: SPOT Resolution

Reason for Referral (select one): \_\_\_\_\_ Behavior \_\_\_\_\_ Companion behavior \_\_\_\_\_ Attempts to surrender a prohibited item outside the checkpoint to a BDO (not liquids, gels, or aerosols)

Section 3 Unusual Items

NONE

1 Point: For each unusual item observed that the passenger has no apparent reason to possess

- |  |   |
|--|---|
| _____ Almanacs   | _____ Liquids or gels (in excess of 3.4 oz or 100 ml)                     |
| _____ Blueprints   | _____ Numerous prepaid calling cards or cell phones                       |
| _____ Global positioning system (GPS) unit                           | _____ Photographs/diagrams of high profile targets                        |
| _____ Training manuals such as flight, scuba, explosive, or military | _____ Rope, wire, duct tape, loose batteries, loose electronic components |

Section 4 Signs of Deception

NONE

If two (2) or more signs of deception are observed during casual conversation, automatically initiate LEO notification.

- |   |   |   |
|---|---|---|
| _____ "Adam's Apple" jump   | _____ Excessive perspiration / inconsistent with the environment                              | _____ Placing objects between self and official   |
| _____ Appearing not to understand questions                           | _____ Excessive throat clearing   | _____ Repeating back questions instead of answers   |
| _____ Change in voice pitch, rate, volume, choice of words, dry mouth | _____ Face becomes flushed  | _____ Scans area appearing to look for security personnel or LEO                                  |
| _____ Constantly looking at other travelers or associates             | _____ Fast eye blink rate   | _____ Trembling of voice or body  |
| _____ Covers mouth with hand when speaking                            | _____ Gazing down   | _____ Unfamiliar with passport/ID or ticket data  |
| _____ Delayed Responses to questions                                  | _____ Gestures don't match the verbal message   | _____ Well-rehearsed answers that may not respond to questions or that may appear to be memorized |
| _____ Distracted or inability to pay attention to present situation   | _____ Gives non-answers   | _____ Whistling during the screening process  |
| _____ Does not remember significant facts when answering questions    | _____ Increased breathing rate, panting   |   |
| _____ Downplaying of significant facts when answering questions       | _____ Lacking details about purpose of trip   |   |
| _____ Evasive or vague responses                                      | _____ Movement away from the official towards exit  |   |
| _____ Exaggerated yawning   | _____ No or little direct eye contact   |   |
| _____ Excessive complaints about the screening process                | _____ Overly specific with answers that would appear to indicate the information is memorized |   |

Sections 2-3 TOTAL points: \_\_\_\_\_

SENSITIVE SECURITY INFORMATION

WARNING: THIS RECORD CONTAINS SENSITIVE SECURITY INFORMATION THAT IS CONTROLLED UNDER 49 C.F.R. PARTS 15 AND 1520. NO PART OF THIS RECORD MAY BE DISCLOSED TO PERSONS WITHOUT A "NEED TO KNOW," AS DEFINED IN 49 C.F.R. PARTS 15 AND 1520, EXCEPT WITH THE WRITTEN PERMISSION OF THE ADMINISTRATOR OF THE TRANSPORTATION SECURITY ADMINISTRATION OR THE SECRETARY OF TRANSPORTATION. UNAUTHORIZED RELEASE MAY RESULT IN CIVIL PENALTIES OR OTHER ACTION, FOR U.S. GOVERNMENT AGENCIES. PUBLIC DISCLOSURE GOVERNED BY 5 U.S.C. 552 AND 49 C.F.R. PARTS 15 AND 1520.

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PART II: SPOT Resolution (continued)

Section 5 Automatic LEO Notification

No LEO Referral

Behaviors totaling 6 points (from Sections 2-3)  
 Clustering of suicide bomber indicative behaviors  
 Disorderly passenger or passenger interfering with screening  
 Displays two (2) or more signs of deception (from Section 4)

Firearm, dangerous weapon, hazardous material, or explosive discovered  
 Large sum of monies leaving U.S.  
 Large sum of monies with no apparent reason to possess  
 Passenger refusal to submit to screening

Purposeful concealment of prohibited item  
 Surveillance activity  
 Suspected unlawful drugs discovered  
 Travel documents  
 Travel companion referred to LEO

PART III: Data Collection

Section 6 Passenger Data

Air carrier: \_\_\_\_\_ Origination airport: \_\_\_\_\_ Next destination airport: \_\_\_\_\_  
 Flight number: \_\_\_\_\_ Final destination airport: \_\_\_\_\_

Section 7 Prohibited Items

NONE

1 \_\_\_\_\_ X-RAY \_\_\_\_\_ BAG SEARCH \_\_\_\_\_ SURRENDERED (BEFORE CHECKPOINT)  
 2 \_\_\_\_\_ X-RAY \_\_\_\_\_ BAG SEARCH \_\_\_\_\_ SURRENDERED (BEFORE CHECKPOINT)

\* Include pertinent information in Notes block below.

Section 8 Resolution Statement

Resolution of Behaviors:

Resolution:  
 LEO responded/did not question  
 Resolved by TSA at selectee screening  
 Denied boarding by carrier  
 Questioned and arrested by LEO  
 Questioned and released by LEO  
 Referred for investigation by LEO  
 LEO did not respond (provide details below)

Identified by LEO or passenger as:  
 Illegal alien  
 Self-deporting

Resolution of Behaviors: [Empty box for notes]

Section 9 LEO Resolution

LEO Resolution Notes: (Include information such as officer's name and ID# in Notes block below)

Primary LEO: \_\_\_\_\_ Reason for Arrest:  
 ATF \_\_\_\_\_ Outstanding warrants  
 CBP \_\_\_\_\_ Undeclared currency  
 DEA \_\_\_\_\_ Suspected drugs  
 FAM \_\_\_\_\_ Illegal alien  
 FBI \_\_\_\_\_ Fraudulent documents  
 ICE  
 LOCAL LEO \_\_\_\_\_  
 OTHER \_\_\_\_\_ List Other LEO: \_\_\_\_\_

Screening Manager Notified: (Last, First)

\_\_\_\_\_

CCTV Copied: \_\_\_\_\_ YES \_\_\_\_\_ NO

LEO Resolution Notes: [Empty box for notes]

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