EXHIBIT 3-1

U.S. Department of Labor

Occupational Safety and Health Hasbrouck Heights Area Office 500 Route 17 South, 2nd Floor Hasbrouck Heights, NJ 07604 Tel: (201) 288-1700 Fax: (201) 288-7315



July 9, 2014

Louis Parise Hofmann & Schweitzer 360 West 31st Street NewYork, NY 10001-2727

Re

Sun Chemical – US Ink – 704178

FOIA #14-083-748449

Dear Mr. Parise:

This is in response to your Freedom of Information request dated 5/15/14 and received in our office on 5/15/14, requesting records concerning the above-mentioned establishment. These records are now available and have been enclosed for your information.

You will note some information has been removed from the documents. This is authorized under the rules and regulations, including exemptions, contained in the Freedom of Information Act 5 USC 552(a) & (b) and 29 CFR 70.3. Actual sections deleted are indicated on Attachment #1, with numbered exemptions, noted in each case. For specific numbers and wording exemptions, please refer to Attachment #2.

You have the right to appeal this decision with the Solicitor of Labor within 90 days from the date of this letter. The appeal must state, in writing, the grounds for the appeal, including any supporting statements or arguments. The appeal should also include a copy of your initial request and a copy of this letter.

If you appeal, you may mail your appeal to: Solicitor of Labor, US Department of Labor, Room N2420, 200 Constitution Avenue, NW, Washington DC 20210. Alternatively, you may email your appeal to: <u>foiaappeal@dol.gov</u>, fax your appeal to (202) 693-5538. The envelope (if mailed), cover email (if emailed), of fax cover sheet (if faxed), and the letter indicating the grounds for appeal, should be clearly marked: "Freedom of Information Act Appeal."



The cost for providing these records, in line with regulations published under 20 CRP 70.40, is as follows:

Total	\$163.28
Mailing Costs	\$15.13
Photographs	\$12.50
Copy Fee \$.15 per page	\$55.65
Review Fee \$20 per hour	\$40.00
Search Fee \$10 per hour	\$40.00

Please make the check payable to U.S. Department of Labor/OSHA.

If we can be of further assistance to you, please do not hesitate to contact us.

Sincerely,

Area Director

SUN CHEMICAL DBA US INK INC. 704178

Documents Partially Withheld

1.	Investigation Summary	Exemption 7c
2.	Inspection	Exemptions 4,7c
3.	Health Narrative	Exemption 7c
4.	Field Notes	Exemption 7c
5.	Contact Numbers and ID	Exemption 7c
6.	Sampling	Exemption 7c
7.	Diary	Exemption 7c
8.	Penalty Check	Exemptions 4,7c
9.	Penalty Payment Report	Exemption 7c
10.	ISA	Exemption 7c

Documents Fully Withheld

- 1. Blue Prints 2 is withheld per Exemption 4 Trade Secrets
- 2. East Rutherford Building Department, Certificate of Inspection 4 pages is withheld per Exemption 4 Trade Secrets.
- 3. Training Documents 1 disc is withheld per Exemption 4 Trade Secrets
- 4. Patton Briggs 100 pages Presentation Investigation is withheld per Exemption 4 Trade Secrets.
- 5. East Rutherford Fire 3 pages is withheld per Exemption 7d Police & Fire. Please contact originator for a copy of this report.
- 6. East Rutherford Police 2 pages is withheld per Exemption 7d Police & Fire. Please contact the originator for a copy of this report.
- 7. Raw Materials Inventory 10 pages is withheld per Exemption 4 Trade Secrets.
- 8. Make & Pack Ticket 4 pages is withheld per Exemption 4 Trade Secrets



FROM 5 USC 552

(b) This section does not apply to matters that are-

MOST USED

WORDING

(4)

trade secrets and commercial or financial information obtained from a person and privileged or confidential;

(5)

inter-agency or intra-agency memorandums or letters which would not be available by law to a party other than an agency in litigation with the agency;

(6)

personnel and medical files and similar files the disclosure of which would constitute a clearly unwarranted invasion personnel privacy;

(7)

records or information compiled for law enforcement purposes, but only to the extent that the production of such law enforcement records or information (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of a confidential source, including a State, Local or foreign agency or authority or any private institution which furnished information on a confidential basis, and, in the case of a record or information compiled by a criminal law enforcement authority in the course of a criminal investigation or by an agency conducting a lawful national security intelligence investigation, information furnished by a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigation or prosecutions if such disclosure could reasonably be expected to risk circumvention of the law, or (F) could reasonably be expected to endanger the life or physical safety of any individual;

U.S. Department of Labor - Occupational Safety and Health Administration

Investigation Summary

Reporting ID	Investigation Summary Number	UPA Number	Event Date	Event Time	Construction
0214500	32415	634352	10/09/2012	01:15 AM	N

Establishment Name	Sun Chemical Inc	Doing Business As (DBA)	
Related Inspections			

Site Information

Street Address 1:	390 Central Ave					
Street Address 2:				· · · · · · · · · · · · · · · · · · ·		
County:	BERGEN					
City	EAST RUTHERFORD	State	NJ	Zip	07073	

Event

Type of Event	Seven Sun Chemical en by an explosion while a	nployees received 1st, 2nd, & 3rd olding Gilsonite resin for making p	leg. burns as a result of a fire followed riniting ink.
·		Number of Employees	
Fatalities	Hospitalized	Non-Hospitalized	Unaccounted
0	6	26	0

Abstract

What was employee doing just before incident occurred?	The (b) (7)(C) just finished adding 200 lbs of Gilsonite, an ingredient for a printing ink, into the dump table. After hearing a squeeling noise coming from vessel #306 or #206, he thought that more oil was needed to loosen the material inside vessels. He went into the control room next door but did not find any unusual data on the LED panel. As he came out of the control room, he observed a flame shooting out of the dump table. (b) (7)(C) a flame above the vessel, #306.
What happened?	The just finished adding 200 lbs of Gilsonite into the vessel, #306, which contained petroleum distillates already inside vessel.
What was the injury or illness?	Employees heard a thump which they thought it came from the pre-mix room. Six or seven employees gathered at the entrance of the pre-mix room and several employees observed a falme about 8 inch long and 3 inch high. (b) (7)(C)" Get the fire extinguisher." One of the employees, grabbed an extinguisher and went up to the 4th step of the stairway to the mezzanine. (c) (7)(C) (d) (e) (e) (e) (e) (f) (f) (f) (f) (f) (f) (f) (f) (f) (f
What was the object or substance that directly harmed the employee?	Fire,
Keywords	Combustible Dust, Explosion, Powered Industrial Vehicle

•	Victim 1	· ; 1	i
	Injured/Deceased Name	William Wright	
	Gender	MALE	ļ

Age		50
Victim Injury		Hospitalized
Cause		Other
Nature of Injury		Burn or scald (heat)
Next of Kin 1		
Next of Kin Name		
Relationship to Deceased		
Mailing Address		
Mailing City	State	Zip Code
Victim 2		
Injured/Deceased Name		
Gender		
Age		
Victim Injury	708744	
Cause		
Nature of Injury	d) g	
Next of Kin 1		
Next of Kin Name		
Relationship to Deceased		
Mailing Address		
Mailing City	State	Zip Code
Victim 3		
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Injured/Deceased Name Geader		-1000000
Age	***************************************	· · · · · · · · · · · · · · · · · · ·
Victim Injury		
Cause		
Nature of Injugy		
Next of Kin 1		
Next of Kin Name		
Relationship to Deceased		
Mailing Address	man and an area of the second	
Mailing City	State	7in Code
The state of the s	TOTALO .	Zip Code
Vietim 4		
Injured/Deceased Name		(b) (7)(C)
Gender		
Age		
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Victim Injury		(b) (/)(C)
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Mailing City	State	Zip Code
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Injured/Deceased Name	TOTAL	75) 77)(0)
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Age		
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Mailing City	State	Zip Code
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Age		
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Nature of Injury	likini i i i i i i i i i i i i i i i i i	
Next of Kin 1		
Next of Kin Name		
Relationship to Deceased	J. L. a H. A. J. a 1817/4 PRESIDE DAMAGNAM (MARGING 1/2 PAY -) obsolutions between	
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Malling City	State	Zip Code
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Gender	. BEVALUE III DEBEKANOONII DUUDA	And the same of th
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Cause		(b) (7)(G)	
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Mailing City	State		Zip Code	

Construction Related Details

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Construction? N		Employers Contract Amount:	
Employer Contractual Arrangement:		Total Time of Construction(in days):	
Number of Contractors:		Number of Contractors / Subcontractors on-site for the project duration:	

Project Management Organization

Project Management Classification	Project Management Organization Name		
Street Address 1:	Street Address 2:		
City	State	Zip	

Construction Information

Number of Stories (if building site):	Type of Construction:	
Height in Feet (if not building site):	Description of other construction type:	
Primary Project funding Source:	End Use Type of Construction:	
Project Cost:		

Incident Information

Construction Operation Being Performed By Victim At The Time Of Event:	Distance of the Fall (in feet):	
Operation Other Than That Performed By Victim:	Worker height above ground/floor) before fall (in feet):	
Cause of Accident:	Description of Other Cause of Accident	

HUALIH NARRATIVE
Inspection Number 704178
COVERAGE INFORMATION: This inspection was based on a referral by East Rutherford police, informing HHAO that an explosion had occurred at Sun Chemical Inc. on 10/09/2012 around 1:15 p.m. Seven employees suffered 1st, 2nd, & 3rd degree burns as a result were transported to Hackensack University Medical Center, Hackensack, NJ. Sun Chemical in East Rutherford manufactures printing inland sells to the New York Times and other companies throughout the country. There are organized employee groups at this facility. It was learned that 3 employees among the 7 injure were transported to Saint Barnabas Medical Center Burn Unit on the same day.
NATURE AND SCOPE
Check Applicable Boxes and Explain Findings:
Complaint Items
⊠Referral Items
a) Seven employees who suffered burns were initially transported to Hackensack University Medical Center(b) (7)(G) Findings: See walkaround section.
Accident Investigation Summary & Findings
LEP
Planned Inspection
Follow-up Inspection
NATURE AND SCOPE – UNUSUAL CIRCUMSTANCES (Mark X and explain all the apply:)
⊠None
Denial of entry (see denial memo)
ODENIAIO GONICEDENIO NOTE.

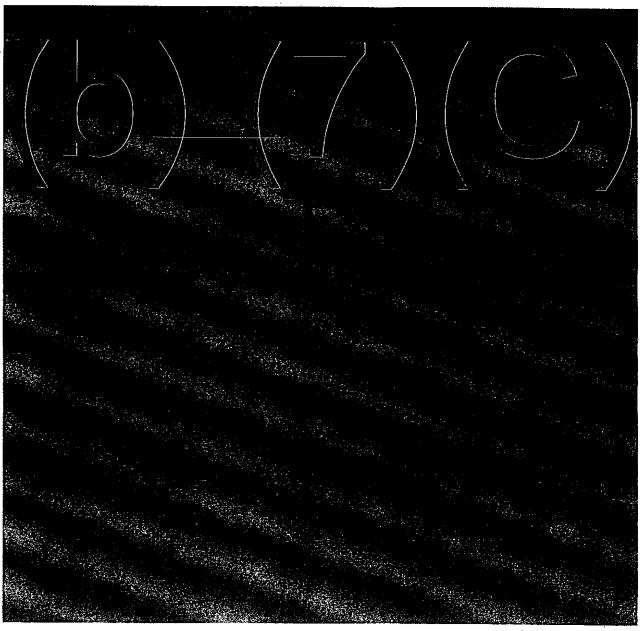
On 10/09/2012, IH(b) (7)(C) and IH CSHO went to the incident command, (b) (7)(C) East Rutherford Fire (b) (7)(C) (7)(C) and explained the purpose of the visit. HHAO officers On 10/09/2012, IH(b) (7)(C)

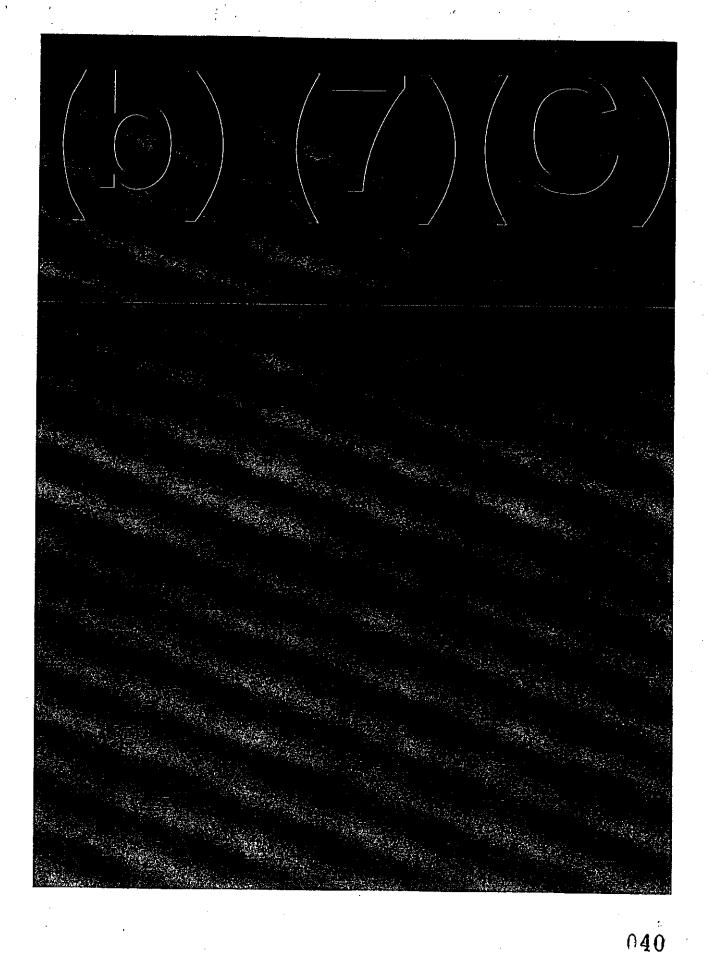
introduced themselves				Openia	1g
conference was held with the coporate (b) (7)(C) and with the employee representative, (b) (7)(C)			e-mail-		
away from non-compar	and with the	e employee represer	rtative, (D) (7)	9)	
$(\mathfrak{O})(I)(C)$	24	Siger .			
Dept of Evironmental P	rotection, Site Rem	ediation Program P	Bureau), and	(7)(C) (E	ast
Rutherford Construction (b) (7)(c)	n Code Official,	(7)(C) . Accordi	ng to the (b) (7)	(C)	
saw the cabinet lights w	ent off which mear	nd door shook, He c	ame out and ra on system went	n towards mixig t off As he app	ng area and
the mixing area, he saw	' a flame coming ou	t of mixing tank #30	06. In the mear	ntime other wor	cere e
came to the area and on	e of them used a fir	e extinguisher to pu	ıt it out. He wa	iked away and a	lialed 911
Of the 3 mixing vessels which would primarily	, #100, #200, & #30 be comprised of Pe	J6, only tank #306 v troleum Distillates	was being used	to make a fresh	batch
Bentonite. During the o	pening conference.	the Hasbrouck Hts.	Area Office C	SHO learned th	af a new
Dust Collection System	was installed by Fi	ontin & Sons. Inc. :	and the system.	was turned on 1	Friday
10/5/12, 4 days before that different muster point	ne fire on 10/09/12. 's depending unon t	After the incident which exit a	workers evacua	ated the plant an	d gathered
•	a actoriants attori	mongn winon exit e	urbiosees wen	ı oul	• .
RECORDKEEPING					
(Copy of OSHA 200's o	or 300's for General	Industry must be in	ı casefile)		•
Records (Mark "X" as a	ppropriate)				
OSHA 100	·				
OSHA 101	·	:			
OSHA 102					
OSHA 200	•	•		·	
⊠OSHA 300				•	
Supplementary Health			•		
Yes	□No	· .	,		
Specify:					
		•			
Poster				*	
roster	`				
Yes Yes	□ No				
Location of Poster: On the	ne employee bulleti	n board.			
Additional Comments:					

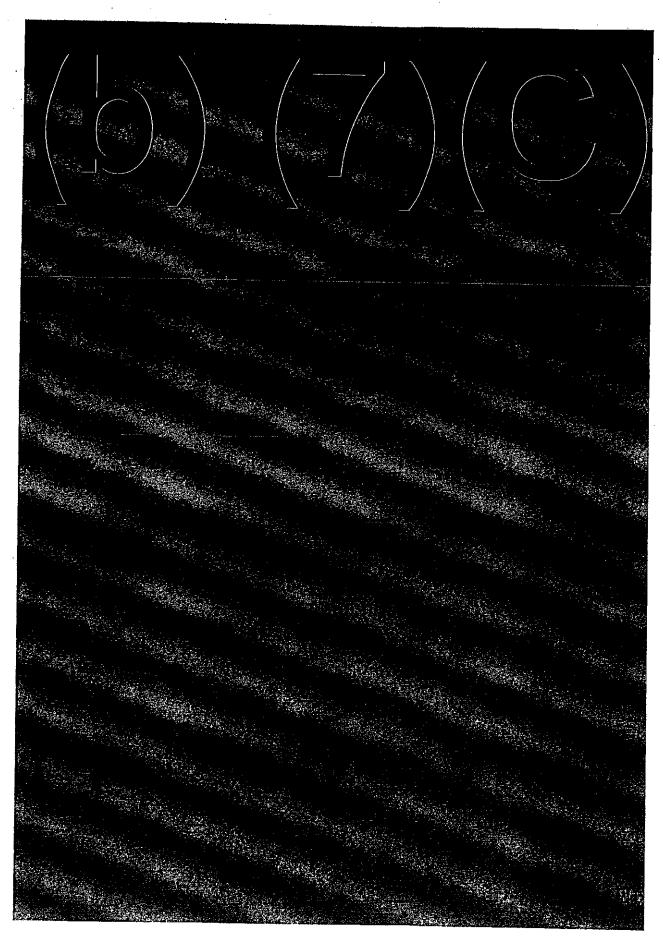
WALKAROUND OBSERVATIONS/UNUSUAL OCCURRENCES: On the first day of this inspection on 10/09/2012, and CSHO were not able to conduct walkground due to the unknown integrity of the building, AAD talked to the order (b) (7)(C) (a) heard a big thud while in his shop and the door shook. He ran out towards the pre-mix area and saw the suppression system with red lights on. This means the nitrogen & sodium bicarbonate suppression chemical were released. (a) (700) saw flame was coming out of the dust collection hose which was attached to tank # 306 and saw(b) (7)(C) grabbed a dry chemical (ABC) to extinguish the fire inside the pre-mix room, went up 4 stairs, and squeezed the nozzle. (b) (7)(C) said as soon as he emptied the extinguisher, he saw a yellow flash and he either, consciously jumped off the stairway or was blown off by air. (b) (7)(G) had not received hands on fire extinguisher training. On 10/10/2012, CSHOs(b) (7)(G) returned to the site and met company process engineer (b) (f)(c) who was only involved in the process aspects of the operation. There were 3 mixing vessels, #306, #206, & #106 that were equipped with a fast blade with 5000 rpm a larger blade with 25 rpm & the capacity of each tank was 7000 pounds. Because the structural integrity was not determined, the CSHOs could not enter the pre-mix room but observed the area where the initial flame, employees said, was seen: a flexible rubber hose of the dust collection system that was attached to tank# 306. The area had a distinctive off-white or gray burn mark was visible. The fire occurred around 1:15pm on 10/9/2012, while the pre-mix operator was adding Gilsonite, one of the ingredients for this particular printing ink, to vessel #306 that already containing Petroleum Distillates. The method of adding Gilsonite to the tank was opening a 50 lbs bag and dumping it into the bag dumper. The material was then transferred into a hopper via vacuum and then into vessel #306. Because the material became air born during the dumping process, the company installed the dust collection system to protect employees from being exposed to dust. On 10/15/12 Chemical Safety Board Members and its consultant came to the HHAO (6) (7)(C) to hear the IH CSHO's observation on the first day of his visit to the site immediately after the incident at the East Rutherford site. The CSHO accompanied the CSB investigators to the site and also met the attorneys, (b) (7)(C) (b) (7)(C) from the law firm, Patton Boggs in D.C., representing for Sun Chemical and the Sun's both from Exponent. On 11/15/12 the CSHO went up to the roof where the dust collector equipped with a fire suppression canister and filter housing. The covers for the housing were opened and examined, including the filters inside, but the CSHO did not observe any burn marks on the filters even though they were covered with dust, as well as the hopper for storing collected dust. The fire suppression canister was actuated by N2 pressure and released NaHCO3. There was no sign of burn marks inside the hopper or filter housing, and on the filters. On 12/5/2012, the Sun Chemical consultants. (b) (7)(C) started dismantling the duct work and measured the bonding for every joint between the two ducts and the electrical resistance which were measured showed all below 7 ohms, indicating that whole system was bonded. The inside of all the ducts which were dismantled were examined and found pasty-residue accumulation of 1/10 inch in elbows and horizontal sections. (b) (7)(C) he he heard a sizzling sound coming from vessel #306 when he was standing on the 4th steps of the stair way. On 12/03/12, both the CSB and OSHA representatives requested the Sun Chemical Inc. consultant (b) (7)(C) to remove the cover of the electrical motor for vessel #306 to examine the integrity of the belts that turned the blade shaft and belts itself. The CSHO did not observe either burn marks on the motor or belts. The shaft was able to be turned around without exerting much force. This was an indication that the motor was not involved in the incident or otherwise one could not move the belts around if the motor was damaged. Based on the fire that inflicted most damages on the equipment such as a flexible dust collection hose attached to the vessel,

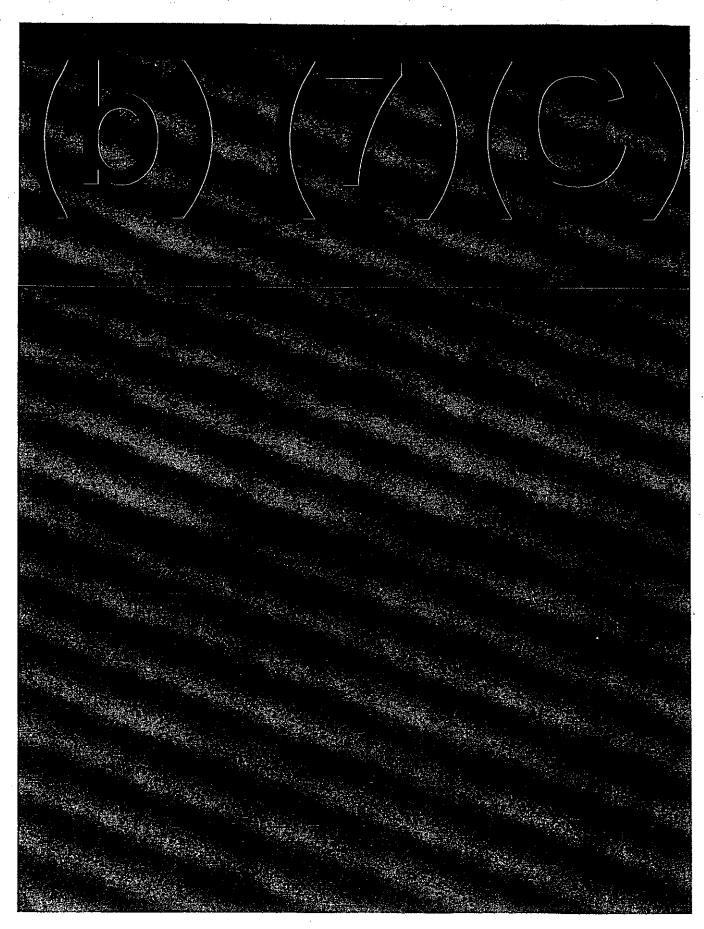
#306, and a flexible hose for material drop from the hopper into the vessel #306, the fire could have occurred inside the dust collection ductwork near the vessel #306 and propagated through the metal ductwork and flexible hoses that were most susceptible to catch fire.

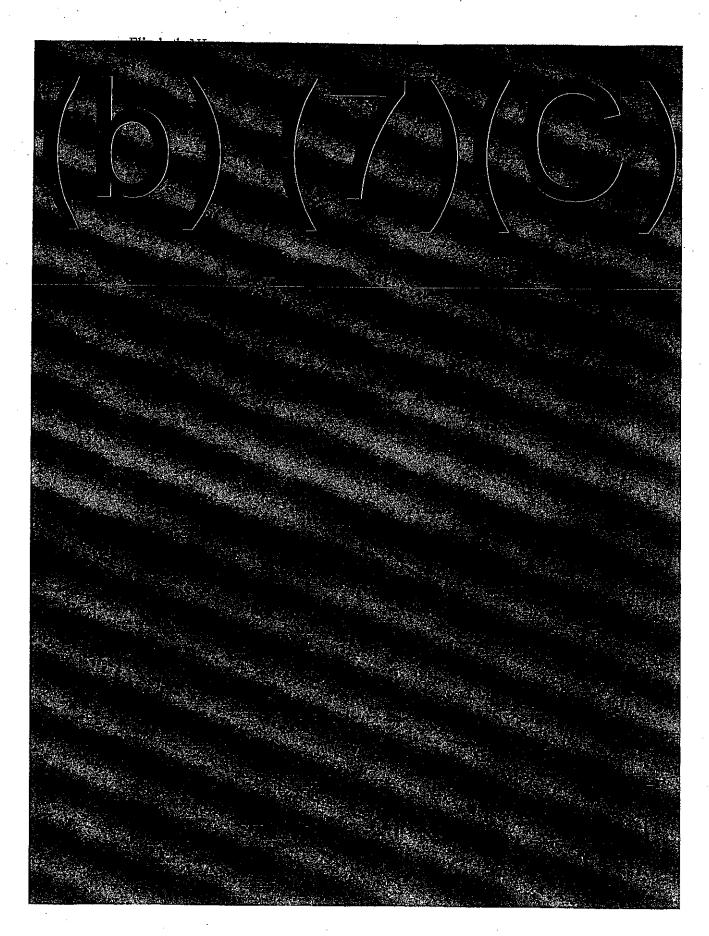
The Sun Chemical Inc. consultants on 3/5-6/13 found that the Rotary Airlock valve below the hopper below the dust collector was wired clockwise. This only caused less effective dust transfer to tank#106 but was not an ignition source. Based on the condition of the hopper as well as the filter housing that the CSHO observed, there were no burn marks and the integrity of the equipment was intact. The CSHO observed that filters were loaded with dust that indicated that the equipment was operated not as efficiently as was expected. Employee interview indicated that vessel #106 the dust collection system was not interlocked with vessel #106, but this did not created sparks or extreme heat to be an ignition source. The CSHO concluded, based on the burn marks which were observed, based on employee interviews, and physical destruction of the ductwork, fire occurred in the lower section of the dust collection ductwork, and vessel #306. The sample results of both Carbon Black and Gilsonite indicated that both were explosive. Therefore the inside of the premix room was classified as Class II. The lighting of the premix room was achieved with ordinary energy saving light bulbs.

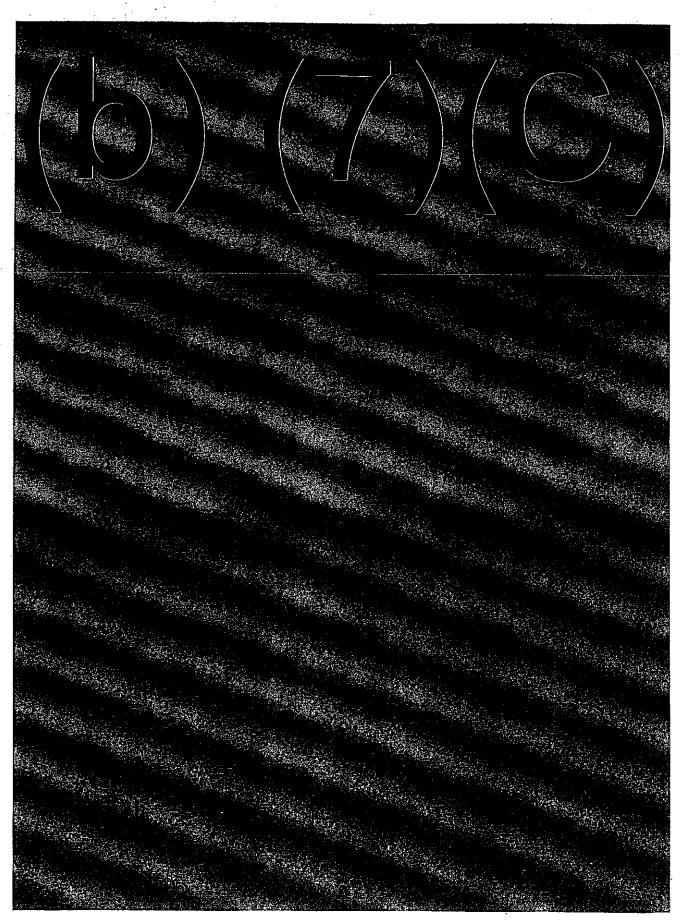


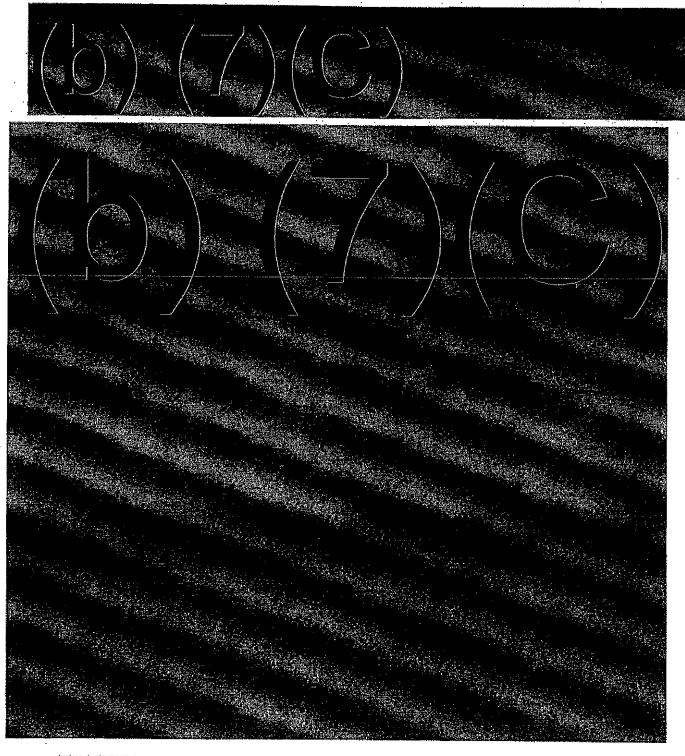












EXPOSURE MONITORING. Performed?

⊠ Yes

□ No

Sampled for: Residues from segments of dismantled ductwork,

U.S. Chemical Safety and Hazard Investigation Board

2175 K Street, NW • Suite 400 • Washington, DC 20037-1809
Phone: (202) 261-7600 • Fax: (202) 261-7650

Office of investigations



October 18, 2012

By Email

Mr. Gary Andrzejewski Corporate Vice President, Environmental Affairs Sun Chemical Corporation 135 West Lake Street Northlake, IL 60164

Dear Mr. Andrzejewski:

As you are aware, the U.S. Chemical Safety and Hazard Investigation Board (CSB) is conducting an investigation, pursuant to the authority of 42 U.S.C. § 7412(r)(6)(C)(i), of the fire incident that occurred at the US Ink Corporation/Sun Chemical Corporation facility in East Rutherford, NJ, (hereinafter "US Ink Corporation/Sun Chemical") on October 9, 2012. As such, this letter serves as notice to US Ink Corporation/Sun Chemical that certain facilities, equipment, records, chemical substances, and debris are relevant to the CSB's investigation and will be inspected and/or tested by the CSB, under the authority of 42 U.S.C. § 7412(r)(6)(L)(ii). This letter supersedes an earlier general evidence preservation letter provided on October 12, 2012, and US Ink Corporation/Sun Chemical is hereby released from any obligations to preserve the site and evidence in as-found condition except as noted below.

Federal law authorizes the CSB to enter US Ink Corporation/Sun Chemical property and "do all things therein necessary for a proper investigation," including inspecting "records, files, papers, processes, controls, and facilities" and taking relevant samples. 42 U.S.C. § 7412(r)(6)(L)(ii). US Ink Corporation/Sun Chemical and its agents will ensure that relevant evidence (defined below) is preserved from alteration or destruction, so that the CSB may exercise its statutory investigative authority.

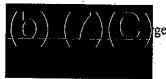
US lnk Corporation/Sun Chemical will take special care to preserve:

- 1. Filters at dust collectors (DSCN0487 jpg)
- 2. Exemplar dust filters for dust collector
- 3. Fire suppression actuators (DSCN0484 ipg)
- 4. Victim's clothing
- 5. HEPPA filters from dust collectors (DSCN0481 jpg)
- 6. Exemplar HEPPA filters
- 7. Ductwork above T-306 (DSCN0453,jpg)
- 8. Fire extinguishers discharged during the incident (DSCN0408.jpg)
- 9. Ductwork downstream from dumping bin (DSCN0438.jpg)

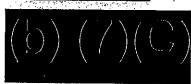
No alteration or removal of the above-listed items may occur except by the approval of the CSB. If exigent circumstances require any alterations/removal under conditions that make advance notice to and coordination with the CSB impossible, US Ink Corporation/Sun Chemical should document, with, at a minimum, photographs or videotape, the pre-alteration/removal condition and notify the designated CSB contacts as soon as possible. US Ink Corporation/Sun Chemical will further notify the designated CSB contacts of any subpoenas or requests from other agencies or parties that may seek custody of or otherwise affect the above-listed items.

The designated CSB contacts for all notifications described in this letter are as follows:

Primary Contact:



Alternate Contact:



As the CSB investigation continues, the agency may identify additional specific tangible objects, substances, or records that it intends to inspect and/or test. The CSB will notify the parties of such items and expects that those items will be preserved in the manner described in this letter.

Thank you for your cooperation with the CSB's investigation.

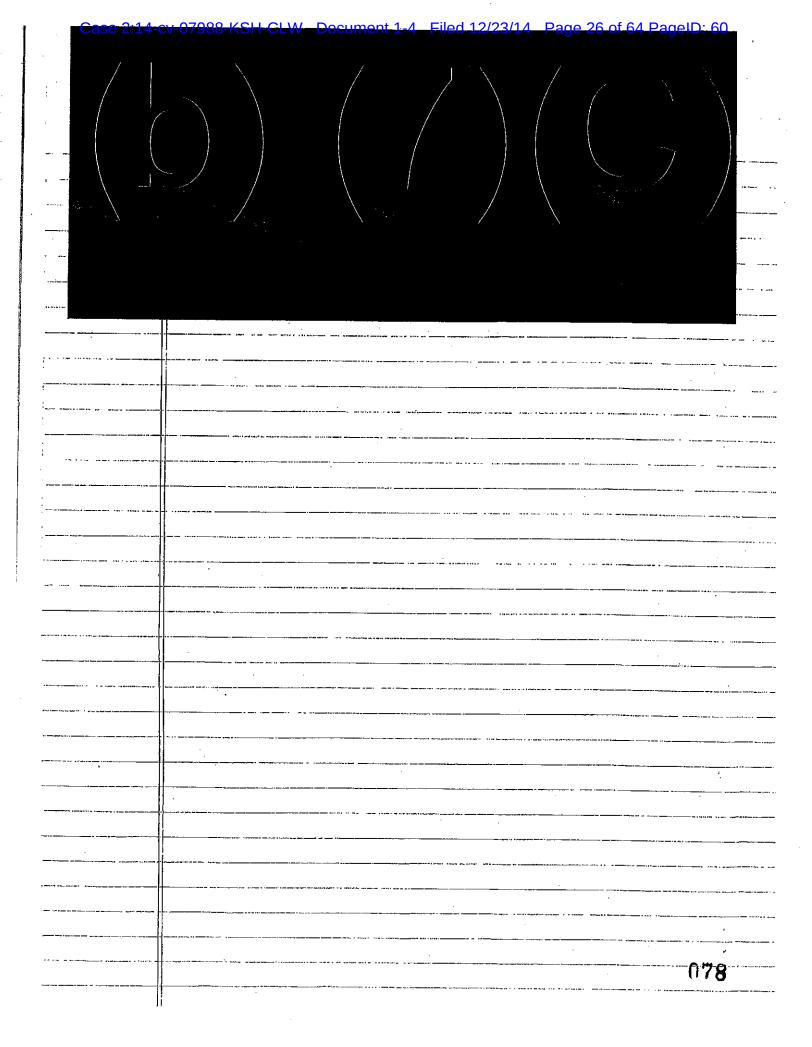
Sincerely,





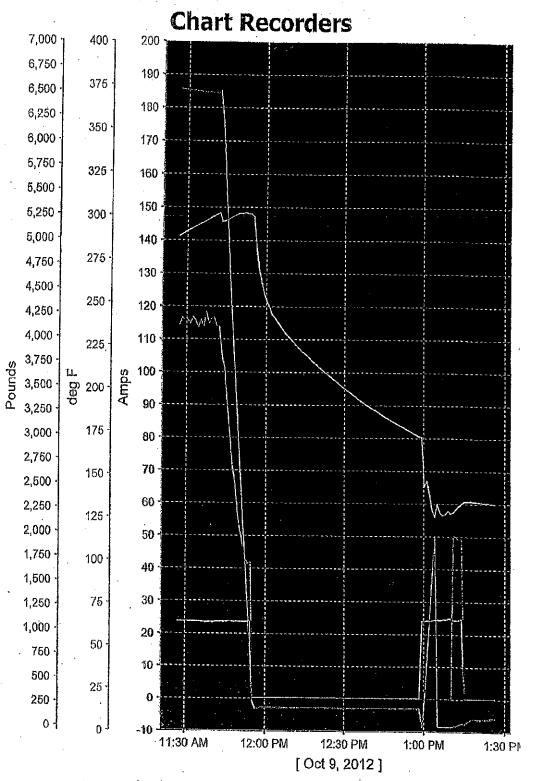
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	(5)(7)(C)
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	3000 Bb - maximum amount of Courson Black
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	in process in all 3 dessals. 1976

Case 2:14-	- Mixer tenteins unknown quantity Fire was coming out of hose which was connected to dust collector of 306 Hose.
	-Grab
	(b) (1)(c) can other way and dialed 911
Silen	Corbon Black Carbon Black Petroleum Distillates - Kalin Clay.
	- Everthing was bonded.
	- Fire Explosion den't of now system that was put in not even a week ago.
	- Mostly & equipment dumage. doesn't appear to
	077



Case 2:14-cv-07988-KSH-CLW Document 1-4 Filed 12/23/14 Page 27 of 64 PageID: 61

TK306

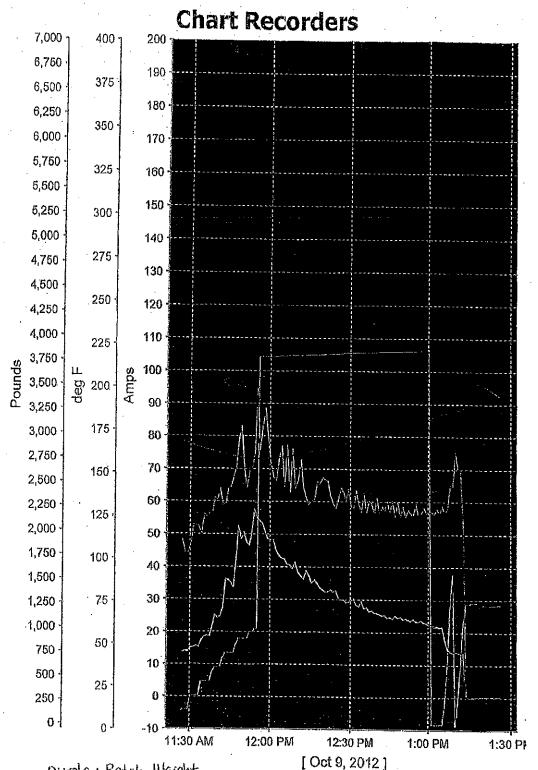


Blue Batch Weight

Green: High Speech Amps

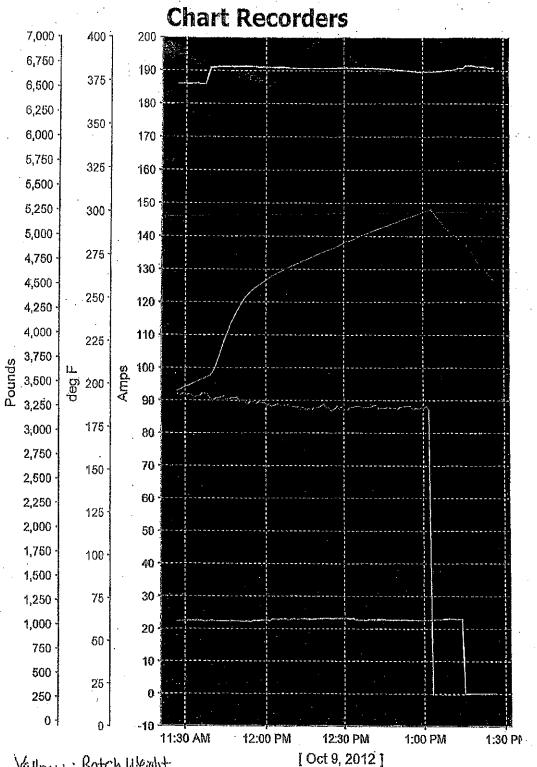
Lunite: Buich temp.
Yellow Sweeper Amps

TKZOK

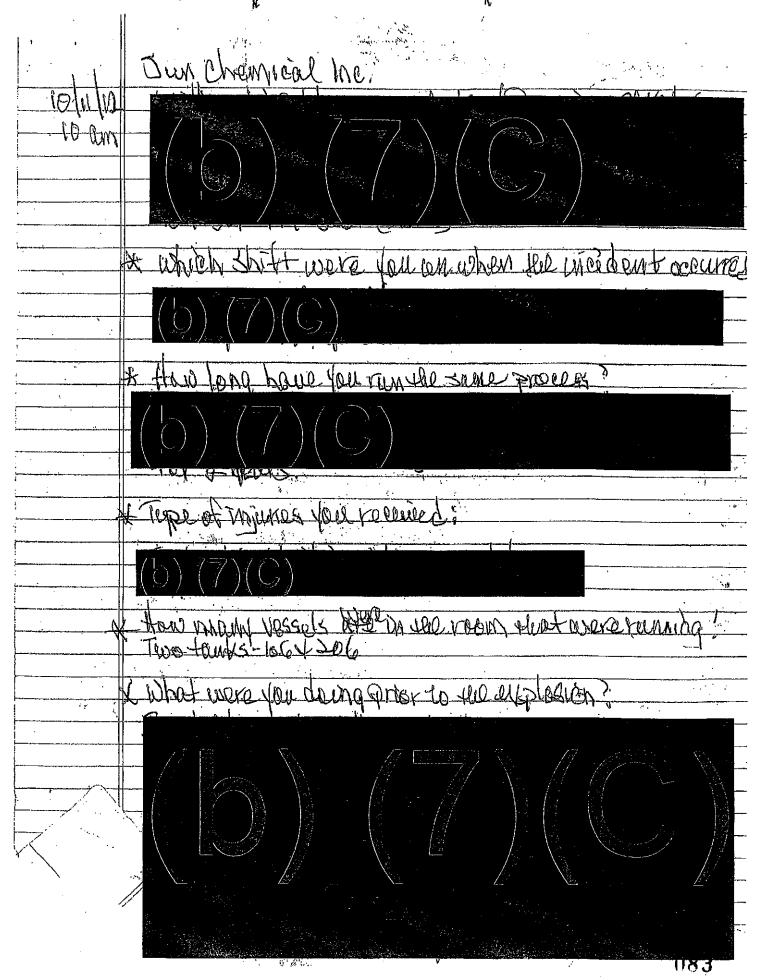


purple: Batch Weight.
Dark Blue: Batch temp.
Bive: High Speed Amps.
Light Blue: Sweeper Amps.

TK106



Yellow: Batch Weight Red: Batch temp Pink: I-ligh Speed Amps Drange: Sweeper Amps



1	
	the dust collection sustem its lights were out y the light tox explosion suppression and also.
	The explosion supposed name;
	7 Hame
	nost that
	Was allached A
	to the tank
	leng Henre wasser.
	Were there any other material baside the oils (got-
	Lid You turn mixors on?
	DAY LOW Speed mixer that has 25 RAM
	Do you have the sof that you used to make the botten?
	No
	Tid In 400 and muse oil bosidor Rot. Dishilmtox ?
···	Castillatas
*—	(84)

10/11/12	Sun Chemical Inc.
	what is par jet duties? Lam (b) (OC) of color in 2 dept x schedule for the pring
,	Tell me what happaned on the day of the explosion. I think it was little after I for I was doing paper work in my otice when I heard a thymp sound.
	From my offiel I could not see the Pro-post room, come out and never to the pre-post voim reaple gathered at the pre-mu a small flame on
	about to the rother of the premue room.
	T fall someone guered me from bohind the farce of the explosion knocked me down The whole when was in trant with book but to good sel nothing what was in trant
	of me. It was totally black for a white. I was not injured or are not suffer burn yours.
	n85
ļ	

- 10 Leglar	zun Chemical Inc.
	Interview w (b) (7) (C) at St. Burnabus Burn Center
	thousand have you worked to the company, Sunchemine (b) (7)(6) What is you lob title? (b) (7)(6)
	Describe what happened on colg 12012. (b) (7)(C) was in my office when (b) (7)(C) came to me, suffice where was a fire in the greened room, on the oust collection system.
	T came to the Pro- will room entry and rew Hame On the top of the tank, #306 It wasn't a feel blown Hame was not tree of the Paln and the ma interview.
	fact and ful back of the head. The wounds are washed bours as Todine.
	086

. ,	
10/15/12	Kangaroo Electrical Contractorlac.
	James Moves, 49, (6), (7)(6)
	1260 COHOW are company established late
	Clifton, n. 3 07013 Teli 973 773-1775
	is hot did the demander clostype anticontander?
	We wired detate entertion motors for the dust collection
	hopper that stores digt collected from the dump station truck 206, and 356.
	To diet enila contain Filsonita Bontane Clau Kralin
	The dust could contain Ensonite, Bentone, clay, Koolin, of Potroleum distributes.
-	We also wired dust collection system to the fire super- esson system monitor. That's oil we did.
-	
· · · · · · · · · · · · · · · · · · ·	
	087

	(88)
	As soon as (b) (C) C) into stantad stratuma the modia a big
	going up the stalks stringer but couldn't pull the satisfic pin
	(b) (7) (C) standing by the control was yelled " get the fire extriousner. I zaw (c) (7) (C) w/ the entinguisher
	monitor lights and the monitor lights for dust fire suppress-
	Station. I went to (b) (7)(c) I went to (b) (7)(c) There was something wrong I've were walking and the round we saw dust control monitor lights for aux fire uppress-
	wholsh and flame to was coming out of the dump
	Went to the control room to see it any evago for the tank was raised the any evago did not rige. As I
	I added 200 ps of Grkonita when I heard a squeling
	1000 was adding on into the vessel, #200 because I hoard squelling noted from #200 who tank #1306 who tank #1306 while stirring at a slow speed. I added 200 jbs of Gikonita when I heard a squeling
	what were landoing on the day of the emplosion?
(b an	And Interview in (b) (7)(C) at the Hurbronck Htg
	Sun Chemical Inc
· · · ·	

2. 1. 0110	sun ohemical inc	
19/1887/15		
	when did four receive transing when working as the fraction from the extinguistion of the extinguistion of the extinguistion of the extinguistion of the end of the end from the end of the	we we will be a second to the
	a thum of we wantenance shap when we premus how were was with a toward of the work with a toward of the mix operator is wither five myre mix m.	Told
	Touch one flame was coming out of itel hose that used to collect dust from the tank the ine diameter of the was of with which was attack the tank, thisology out one who a not which was attack	
	I grobed a tre extinguisher and walked up it; and squelzed the norzhe. Who of sudden I saw a thank II doued off to no as the entrance sence pulled me out of the room, & 10000 went out that the room, & 10000	DOLL OF
		089

	-
	090
	1100

10/13/12	Sun Chemical Inc Central auc
10-70	(b) (7)(G)
	What do you do in the boiler room? One ck water lavel, add descaler (sodium hydroxide shout
	Have you ever received to training.
	Did you reacher barand communication training
	Yes, I received training Do you know where Haz commerce you can trad a written have you can trad a written have you can trad a written have commerce you can trad a written have commerce you can trad a written have commerced as the commerce you can be a written have been traded as the commerce you can be a written have been traded as the commerce you can be a written have been traded as the commerce you can be a written have been traded as the commerce you can be a written have been traded as the commerce you can be a written have been traded as the commerce you can be a written have been traded as the commerce you can be a written have been traded as the commerce you can be a written as thave you can be a written as the commerce you can be a written as
	To the what happened on the day the explosion outpred on my room when out heard a thump
	there was a Home that was about 3-10 with long on
	the resser from a five extinguisher I colled a u. while I was so for away from the premix room

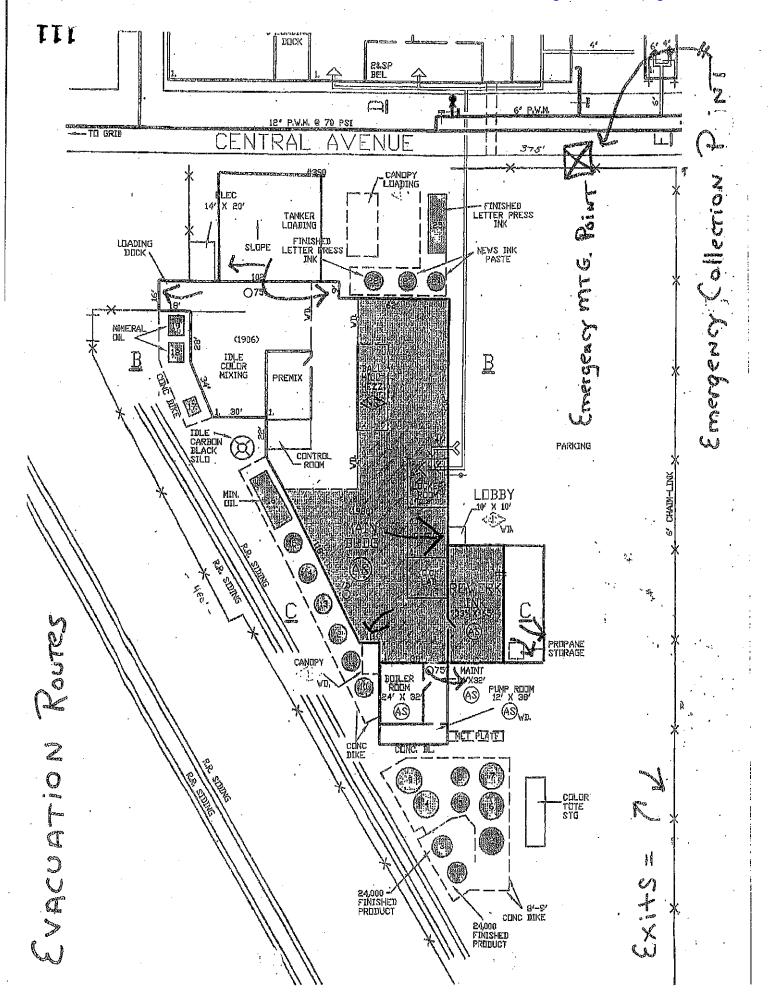
4/3/4	Sun cham inc a carbatatatate.
	Did you were HAR. Domm. Francis ? By manager, yes Can fee find MSN 5?
	Jes. Did Van receive A navide on training in the use of the extinguisher ago. Since them No yearly training in the way
	who tulingh shift were you on the day before the
	Third shift (Hom-Fam next norming) Did you know that there was a new system, Just collection in place.
	Who told you that how to operate the new Eyston! (b) (7)(C)
	Vo Hola (b) (7)(C) n92

. []	
	How extensive was the training by: (6) (7)(C)
	(b) (7)(c) told me "Put the red button styr to
	to start the cystem on.
	spid you hear a noise coming from en they tank
	No. Weeks ago a noise from tankto to was
	0.00
	the moterial is over 1000 165 turn all high steed bade on It temperature inset sole, I short down
	The of the control of
	the high speed blade.
	Ewhat was the temperature for tank 306?
	It was 306 FO which was below the Threshold
	temp.
	How many batches did yournake that right?
	3 batches But I had tough time to purify carbon
	Blage Det 0 face 206 & 306.
	1. (
	Didyau receive burns?
	110,
	002
	0.73

18/13/2 Jun chemical Inc - Cartstadt site	-OMEROWER
	E CONTROLLE CONT
and by ashow?	
+ te movemous society material?	
that you been ware of the company writer	14
no I tout know houts find them.	The same of the sa
When did four work prorto the explosion?	
4 onen gran the ant conversor statem in o	
- HALDON WAS COMPRISED AND THICKLE	THA
To except (6) (7)(C) 10. (200ept (6) (7)(C)	

Case 2:14-cv-07988-KSH-CLW Document 1-4 Filed 12/23/14 Page 44 of 64 PageID: 78

Date		· ·	
Name of Auth Title	hority	·	
	Dept., Police Dept., etc.)		
SUBJECT:	EMERGENCY CONTINGI SUN CHEMICAL FACILEI Address City, State & Zip		
Dear Mr./ Mr	s.f Ms:		
pleased to mai of materials b	ke arrangements for you to revie	I the facility Emergency Contingency Plan. I would be by this latest revision for familiarization of our facility, typesed by these materials. A copy of our Emergency sired.	ಕಿ
Please indicat Contingency I	e below, how we can best accom Plan, and return the signed form	modate you for conveying our facility Emergency in the enclosed postage paid envelope.	
I look forward	to receiving your response.		
Sincerely, SUN CHEMI	ICAL CORPORATION		
Name l'itle GPI Location			
		•	
I would li I will be c	ke to arrange a facility visit to recontacting your office on	eview Sun Chemical's Emergency Contingency Plan. by telephone to arrange a meeting.	
I would li <u>NOT</u> requ	ke to receive a copy of Sun Che aired at this time.	nical's Emergency Contingency Plan but a facility visit is	
I do not re	equire a facility visit or a copy o	f Sun Chemical's Emergency Contingency Plan at this tim	e.
	•		
Signature	AMAN INTERNATIONAL AMAN AND PROPERTY OF A STUDIO OF A	Title	
rint Name		Date	
•			

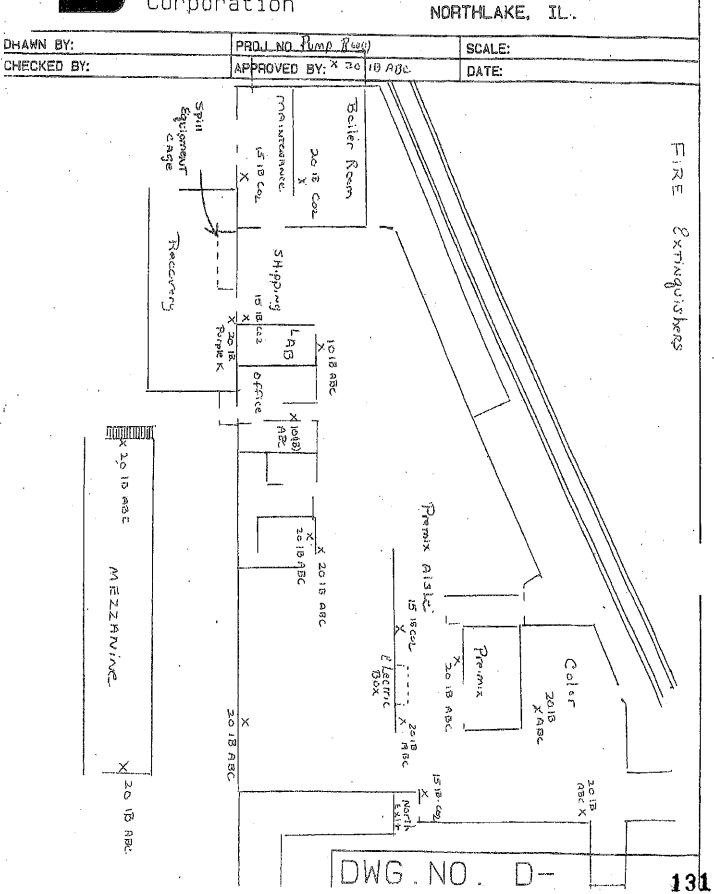


EMERGENCY RESPONSE CONTRACTORS & SERVICE

Kangaroo Electric: Electric: 973-773-1778 Plumbing: Mechanical: Cheval Construction: 201-939-3698 Garbage: Waste Management: 201-664-6010 Plant Security: ADT 973-439-6444 Norfolk Southern Railroad: Regional Superintendent: 717-541-2101 Train Master: 201-239-3241 973-328-0050 Carbon Express: Transportation: 501-682-0833 Hazardous Waste: Rineco:



GENERAL PRINTING INK DIVISION ENGINEERING DEPT.





Sun Chemical Corporation

GENERAL PRINTING INK DIVISION ENGINEERING DEPT. NORTHLAKE, IL.

LHÁWN BY:	PROJ.NO.:	SCALE:	
CHECKED BY:	APPROVED BY:	DATE:	
TYPE		Lecomon	ed in
20 10. ABC		Boiler Rung	. /
20 18 ABC		Pump Room	1
20 1B ABC	•	MEZZANINE	
20 18 ABC		CARtena	
20 1B A BC	,	Blenoing	Ż
201B ABC		Premix Airle	Ž.
20 1B ABC		Color Rm	}
201B ABC		E lectrical Rm	/
15 18 Coz		MAINTENANCE	1
15 1B COL		Shipping	i
15 1B Coz		Premix Alste	/
15 1B Con		North Exit	/
20 18 Purple	K.	Recovery	/
10 13 ABC		1198	/
10 1B ADC		0 PATOCE	1

DWG.NO. D-

133

Signed Date Reason Concessioned By QC Approval Signed Date Signed Receipt Loch Prod. Approval Packaging Data

Page 2, of 2

Reason Code /Number of adds:

Process Order# Quantity

Material

90182232 ER17019:O/F LOW RUB BLACK:TANK 1WRC

BLEACH: % / -5%

WEIGHT: FINISH

TRANSFER

FTSOL: SSAV.5 24-30 DI WTR

WPU: 40-60 WASH: 0-0.010G VISC: HBT SSAV10 60-75 21/13

> 1005102055 6,600 LB

140

155-

ASP602 MSDS code: Engelhard 11/20/2001 Revision date: Material Safety Data Sheet

> mix cycle, the kaolin MUST be fully dispersed in the polyester resin BEFORE the incorporation of the styrene monomer.

Polymerization - Avold:

Do not mix directly with styrene monomer.

11. TOXICOLOGICAL INFORMATION

Information on Product:

No data available.

Info	ormation on Component	s:	<u></u>	,	······································	()
	Ingradient	Weight in Product (%)	Acute Toxicity • Oral	Acute Toxicity - inhalation	Acute Toxicity - Dermal	Acute Toxicity • Other
-	Kaolin 1332-58-7		> 5,000 mg/kg (rat)	Not Available	Not Available	Not Available
	Surfaciant(s)	b4	Not Available	Not Available	Not Available	Not Available

12. ECOLOGICAL INFORMATION

Information on Product:

Environmental Fate:

Ecotoxicological Information:

Not hazardous to the environment. Not hazardous to the envilonment.

13. DISPOSAL CONSIDERATIONS

US EPA Waste Number:

Not Regulated

Disposal of Waste Method:

This product, if disposed as received, is a non-hazardous waste.

Local disposal laws and regulations will determine the proper waste disposal/recycling/reclamation procedure. Disposal requirements are dependent on the hazard classification and will vary by location and the type of disposal selected.

14. TRANSPORT INFORMATION

International Transport Regulations:

UN/PIN Number;

Not Regulated

US Transportation Regulations:

DOT Classification:

Not Regulated

Canadian Transportation of Dangerous Goods (TDG):

TDG Classification:

Not Regulated

15. REGULATORY INFORMATION

ASP602 Engelhard MSDS code: 11/20/2001 Revision date: Material Safety Data Sheet

International Inventories:

United States:

This product or its ingredients are listed on or compliant with the TSCA inventory.

Canada:

This product or its ingredients are listed on or compliant with the DSL. This product or its ingredients are listed on or compliant with EINECS.

Europe;

Japan: Australia:

This product or its ingredients are listed on or compilant with AICS.

Korea:

Not Determined

US	Fet	leral	Kegu	latio	ns:
_					

Ingredient	Weight In Product (%)	Subject to SARA 313 Reporting
Kaolin 1332-58-7		No
Surfaciant(s)	7.	No

SARA 311/312 Hazard Categories:

Chronic Health Hazard

CAA 602 Ozone Depleting Substances (ODS):

This product neither contains nor is manufactured with an ozone depleting substance subject to the labeling requirements of the Clean Air Act Amendments 1990 and 40 CFR Part 82.

US State Regulations:

VOC Content (CARB):

None

Canadian Regulations:

WHMIS Classification:

Not Regulated

This product has been classified in accordance with the hezard criteria of the Controlled Products Regulations and the MSDS contains all the information required by the Controlled Products Regulations.

16. OTHER INFORMATION

Revision number:

Prepared By:

Engelhard Corporate Environmental Health & Safety Group

The information in this Malerial Safety Data Sheet should be provided to all who will use, handle, store, transport, or otherwise be exposed to this product. This information has been prepared for the guidance of plant engineering, operations, management and for persons working with or handling this product. The information presented in the MSDS is

	elhard al Safety Data Sheet	MSDS code; Revision date:	ASP602 11/20/2001	
1				

premised upon proper handling and anticipated uses, and is for the material without chemical additions/alterations. We believe this information to be reliable and up-to-date as of the date of publication, but make no warranty that it is. Additionally, if this Material Safety Date Sheet is more than three years old, please contact the supplier at the phone number listed in Section 1 to make certain that this sheet is current. Copyright Engelhard Corporation. License granted to make unlimited copies for internal use only. End of MSDS......

	4/18/13 SUN Chemical	
	Gory Andrzejewski- Corp VP of Environmental Ad	Effics
	(b) (7)(c) (b) (7)(c)	
No chang	Cit I I tem 1 - will aboute - and want to reclass	ήν <i>40</i>
Reclassify Notanje Amend 94d	Cit! Item 2 - CDI - want to reclassify to Cit! Item 3 - Disagrees w/ citations and feel. They complies w/ stander Cit! Item 4- 1910.304(g)(d)(u)(m) would accept Std instead. Serious. MA) Cit! Item 5 - w/D.	270 Yipst 2
	30% penalty reduction-	
	-completely relied on (b) (7)(c) For expentise	
	(b) (7)(C) but did not have expertise	; c +-
	UAS - Out collector Mg Faber - Integrated / coordinated File - Mfg. of File/Explosion Suppression System	
	10angaroo- Besp. for wicing equipment	181
·		190 15廿

Case 2:14-cv-07988-KSH-CLW Document 1-4 Filed 12/23/14 Page 56 of 64 PageID: 90



		Pageof
	live address)	
Company Name	Location 390 Carryal a	Tuspection Number
ها ۵		404 198

Date	Action	Initials
plata	Recaived a call from E Rutherford Police intorning	(b) (7)(C)
,	that an explosion occupred at the sur chem shourt	
	in East-Ruther-tord 6 employer were trans-tors	
	to Hackensack University Modical Conter.	
10 BIL	ty (b) (7)(C) yent to the site yearde	to
	Fire March Met (b) (7) (C) Sun Chemical () (7)(G)
	(b) (7)(C) from N(129, 1 (b) (7)(C)	
· () ·	(b) (7)(C) of SHA CACO ME.	
10/1/10	Hold opening conterence (6) (7)(C)	\$ 1V.
· 	(b) (7)(G)	_
	that we request permission for walk around,	
	employee intervous & stategration during the investigation. Terminon was granted	
	There 7 ses were transferred du Modical Center	·
	opposed to be that was originally reported by	- 1
	News modia	· ·
ulale	The Rutherford Building Dept official spil sue	(b) (7)(C)
18 (2) (0)	integrity of the vocaturary the explasion	
	occurred was not determined the explosion	YUY
	was prounted in the Dre-mix room while	
	the Overnix operator (b) (7(C)	KS.
	just Frakhad adding souther of Prikenite.	100
		50000000000000000000000000000000000000



Company Name	Location 300 Coura We Inspection Number
Sup memied in	CRUtherford AT 1704-178

	Date	Action Ini	tials
N	0-10 n 12	Went to the site and took photos of dest collector	(b) (7)(G)
		Helille hoses coming from tanks # 306 206	
		+ 104 So called the Dumper Table Whore	
		que pre-mor sporation preved 200 lbs of Corkonale	_
	() 6	into the humber	
	10/11/11/01	Just collection Hereable weres are seen atrophed	
		to use behind of the dump table. The third	
		Loud	
	12/11/12	Returned to the site of took more Phitos of the) (7)(©)
		eaugment incide the gre-mill room. According	
		to the hum gathern the fire sempent occurred -	
		morde the dust collection Justem, vessels 4306	
		# ADO 4 # 106 did not seem to be attended by	
		the three The most damages received by the file	<u></u>
		wave done to ducts and hoses connected to	<u> </u>
		The integrity of tanks # 306 206, 4 186 was intage	. (10)
٠.	10/14/182	The integrity of tanks # 306 206,4 166 was intact	-4K
		At the its early to make any decision on the	
		integrity of the tank # 306 Motor until the	
		eaver is removed and texted bette function	

Location Pondy

US Department of Labor Occupational Safety & Health Administration

Company Name



I	ageof
spection	Number

Date	Action	Initials
akoko	(spo (0) (0) (0) Yeturned to the site	(5)·(7)(G)
	mat 2000 000 (D) (D) (D)	•
	the room was deplaced structurally sound to	ke
<u></u>	ash entored the ration Little Photos of the	<u> </u>
·	Upskels that were prosumed involved in the	elalogion;
	Land I sal I thank of a samples than 306 x 1	From 2ab
10/W/O	(b) (7)(C) toak &	ander the
<u>, </u>	from your HABIN Y #206	
	(b) (7)(C) said there we two mixers: Large	that
 	notated as tubed min & smaller that has t	blues
	totates \$5000 pm.	(5) (7)(6)
_lolloll	It was learned that the dust collection system	1 VIXIO
	installed dry Merty Frontin & dona Spokew	<u> </u>
	Electric Inc (b) (7)(G)	a de la
<u> </u>	04015 Tol (b) (7)(c) Who did was connecting	D(N)
<u>whiles</u>	on the shift when the modernt occurred, is along	· n.)-
	1 DW (DIE)	<u> </u>
	(9)(2)(C)	,
19/11/11	Interviewed (D) (7)(C) in where rod dept (b) (7)(C)
- MILIT	MILE TO MANAGE M	



Page	of	
0		

Company Name	Location 100 Control Cul Inspection Number
	E. Rutherford, nT 1704 198

Date	Action	Initials
iohalia	Went to St. Thurnabus medical center to intertuely	(b) (7)(C))
10 15 B		(5) (7)(G)
Mysifel Mysifel lofibles	(b) (7)(C) Interviewed (b) (7)(C) Hashward Ht. Dunkin Donut shop. (b) (7)(C) Emenion Conscitant came to the	(5) (7)(6)
10/10/12	1) (7)(C) from CKIZ (b) (7)(C) CSB consulard (b) (7)(C) from CKIZ (b) (7)(C) CSB consulard (b) (7)(C) fun Chan consulard discussed	Itant od
	laboral stops that are to be taken,	



Page	οf	_

Company Name	Location 300 Control All Inspection Number
Sun Chemical MC.	E Rutherford NT 404 A8

Date	Action	Initials
Whilm	Took shotos of the instrument panel of the cont	(b) (7)(G)
· ·	room 4. Fire extingueter (ARC) what was used	
	(b) (7) (c)	
10 M 10-	THER Sample of Chilsporte on oil from tonkth & H	
10/19/13	Poternad to the site & took charcoal Ramples	\$25 F. 1
	Tick samples from we dust cake ator hase attached	10
1.1.	the back of the dunch table	
10/19/14	Took sample from versel #106, #106, V # 306	
11/15/12	Had a moeting whose, company lawyers to	
	discuss iday's procedure.	
<u> </u>	went up to the root top to look tor signs of burn mark inside, the filter busing & file	VC
	11	
	There was no sign of harn marks unside	5.
	the Alter housing and On Alters.	
ralalia	company consultants in partied contributy from	2
12/4/0	prior to dismantling the dest collector duct	
	Each seamont to the rest seament did not	
	producate greate (man 7 chms. This indi	ended
	that happing was continued (See deagran)	_
12/4/18	Sampled residuer dusty material	(b) (7)(G)
		V/4 :



inspection &	Casefile Activity Diary	Page	of
Company Name	Location 800 Control	Mo Inspection Number	
Sw Chemical Inc	E Runnerford, Mi	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	
Date	Action		Initials
(b) (7)(C)	ed inter It sampled	national inside	(b) (7)(G)
the dupts	of hust collector be	chospit removal by	By Devisory
18/2/19 Objected o	sporter druing to	ata-facklist of	. (b) (7)(G)
ternich set	wing a puntor stabiliza	ng that tank we	RS 144.
	and (b) (7)(C)	A.1000	
(b) (7) (G)		COMEO	rte (
Waring o	75 /7 /7 /7		a the property of
1) (7) (B) (7) (C)	<u>(</u> (b) (7)(C)	A STATE OF THE STA	
	the water level lead	is and adds dos	calor.
dount in	o mis/day inside +	puboler man	where
11 1 1	wexit alcapt an	, , ,	r.
12 1912 Interdice) (6) (7)(8)		
Dian Interview	led (p) (1)(c)		
19418 (D)	()(C)		(5) (7)(0)
11810 Intervier			
3/9/18 Motor Mean			
Salis woothe	<u>u)/(222</u> (6)(7)(C)	sur them a	thorage
	CHI de Emma de Mille	70/60	A Common of the



sefile Activity Diary	
	Pageof
Location Mi Control	Luspection Number

Date	Action	Initials
4/1/13	Submit assofile for verieu.	(b) (7)(C)
4/2/13	AAD reviewed cosetile after corrections. OK. to	
	store isolai.	
10-1-		
412113	Citations mailed to Co.	
4/1/13	Received a DVD From PATTON BOOGS, LLC, LLC LUL	10000000
<u></u>	film retained by Jun chem, m	200
	With duty but didn't maption motorials and	
	as Gilsonite of lardon black	
ulales	Held a plosing (b) (1)(C) (counsel-4ther)	1200 (200 C
- chirtier	(b) (7)(G)	
	(5) (7) (C) (Sup Cho	M
	Me env attoins)	-
4/3/18	Hand-delivered exterior	
4/4/6		
		• ,
4/3/13	3 Cel Hand Delivered	(b) (7)(c
a c1 :	2 Carten 1000 1000 to Book 100	CANES
H1 1-1.	3 GWEN ATM LIK TO BOOK I.C.,	13/11/00
	THAILED AVANTOR WED 4/17 1PM	7 . (6) (7
1 7-6	3 MICCONFIRMED THURS @ IOAM A	1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-



US Department of Labor

Occupational Safety & Health Administration Inspection Case File Activity Diary Inspection #: Company: Action Initials haringstab & house items are abotes Solia